

# Recent Results on Jet Physics and $\alpha_s$

XXI Physics in Collision Conference

Seoul, Korea

June 28, 2001

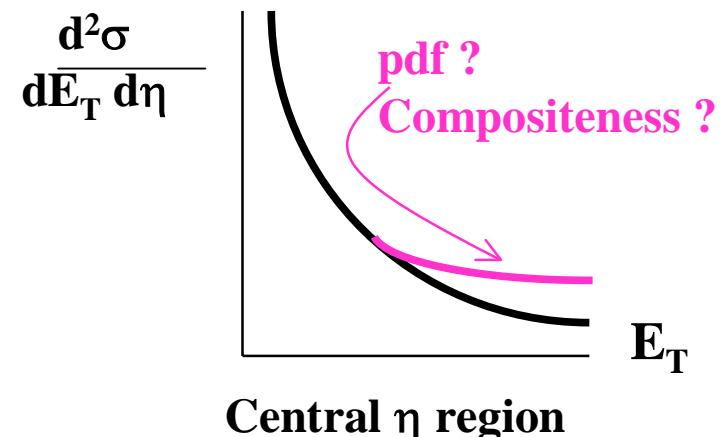
Presented by  
Michael Strauss  
The University of Oklahoma

# Outline

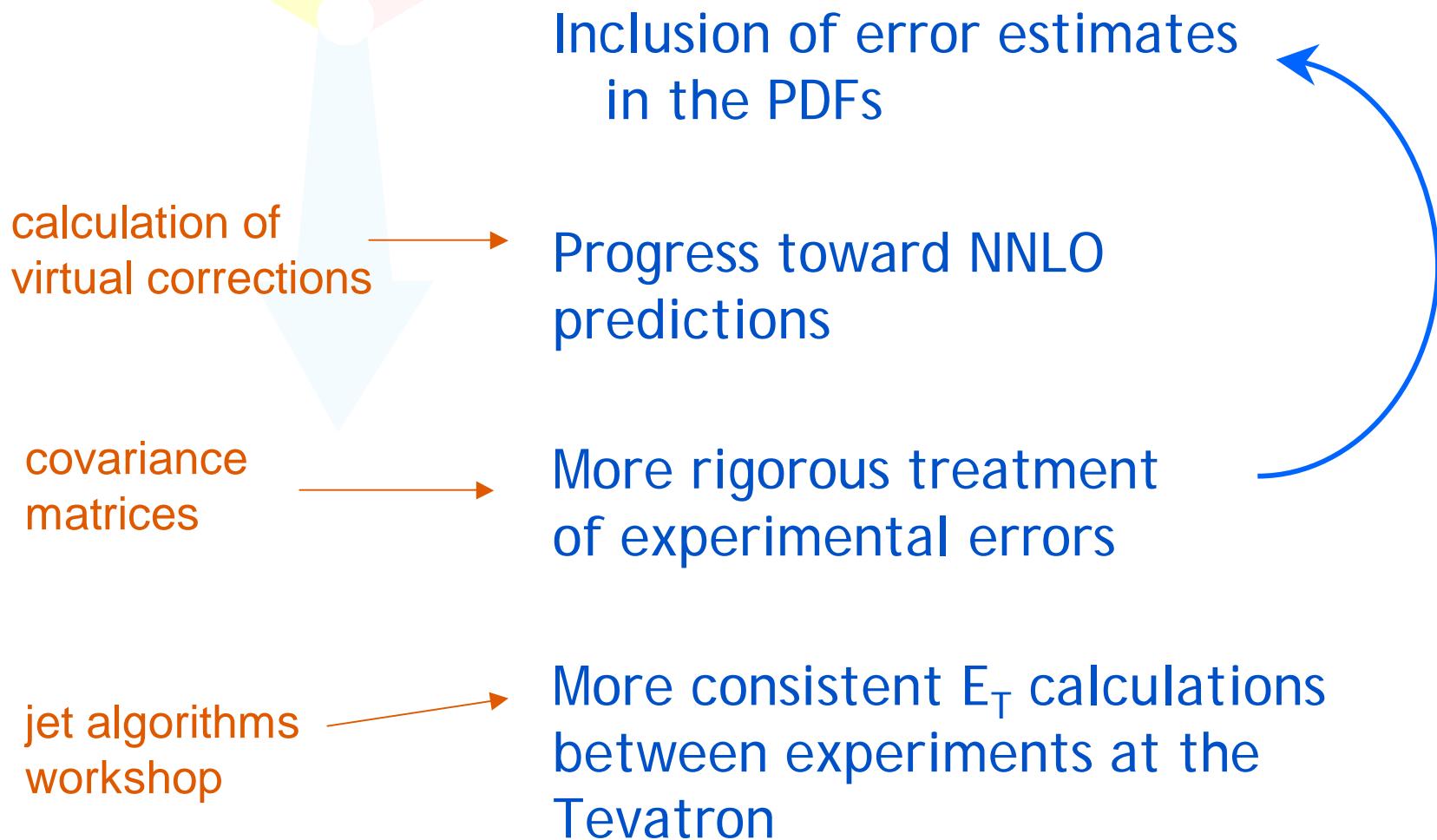
- Introduction and Experimental Considerations
- Jet and Event Characteristics
  - Low  $E_T$  Multijet Studies
  - Subjet Multiplicities
- Cross Sections
  - Three-to-Two Jet Ratio
  - Ratio at Different Center-of-Mass Energies
  - Inclusive Production
  - DiJet Production

# Motivation for Studying Jets

- Investigates pQCD
  - Compare with current predictions
  - pQCD is a background to new processes
- Investigates parton distribution functions (PDFs)
  - Initial state for all proton collisions
- Investigates physics beyond the Standard Model



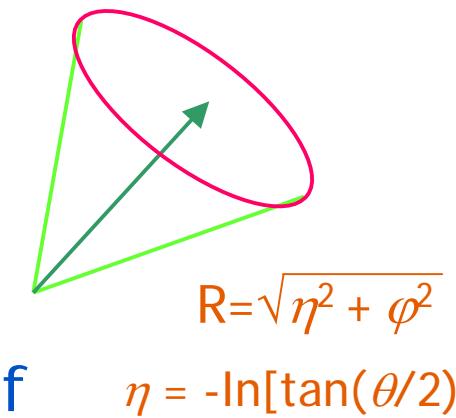
# Developments in Jet Physics (with proton initial states)



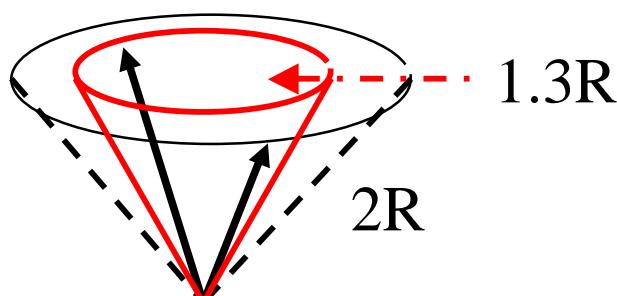
# Cone Definition of Jets

Centroid found with  
4-vector addition

- Cone Definition  
 $R=0.7$  in  $\eta-\phi$



- Merging and splitting of jets required if they share energy



- $R_{sep}$  required to compare theoretical predictions to data  
( $R_{sep}$  is the minimum separation of 2 partons to be considered distinct jets)

# $k_T$ Definition of Jets

$$d_{ij} = \min({}^i E_T^2, {}^j E_T^2) \frac{\Delta \mathbf{R}_{ij}^2}{D^2}$$

$$d_{ii} = {}^i E_T^2$$

$\min(d_{ii}, d_{jj}) = d_{ij} \Rightarrow \text{Merge}$

$\min(d_{ii}, d_{jj}) = d_{ii} \Rightarrow \text{Jet}$

- $k_T$  Definition

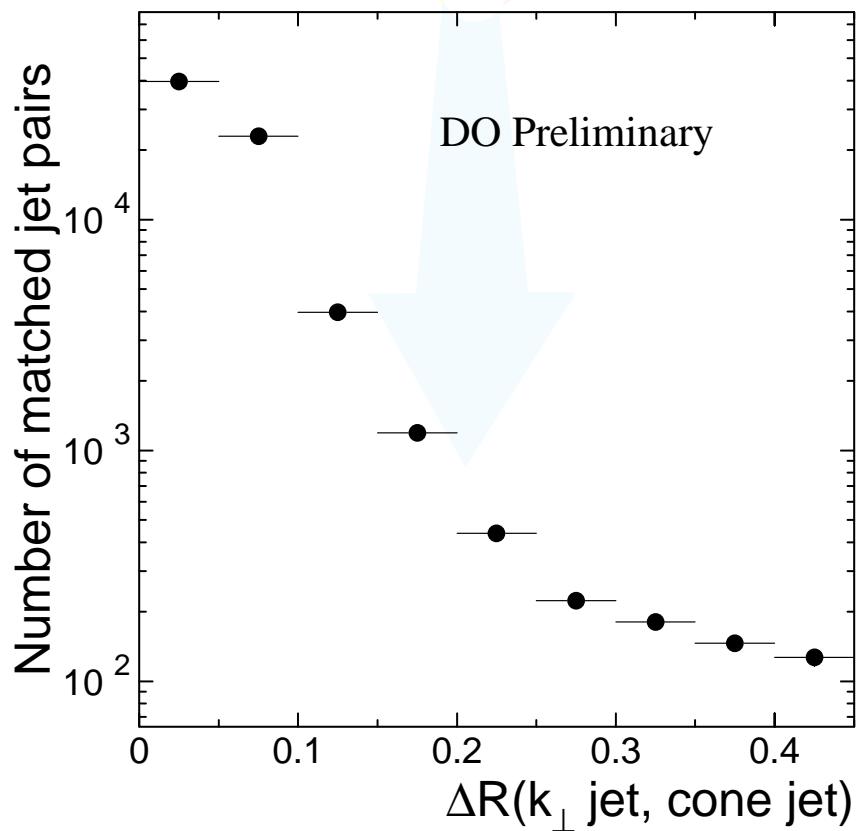
cells/clusters are combined if their relative  $k_T^2$  is “small”  
( $D=1.0$  or  $0.5$  is a scaling parameter)

- Infrared Safe

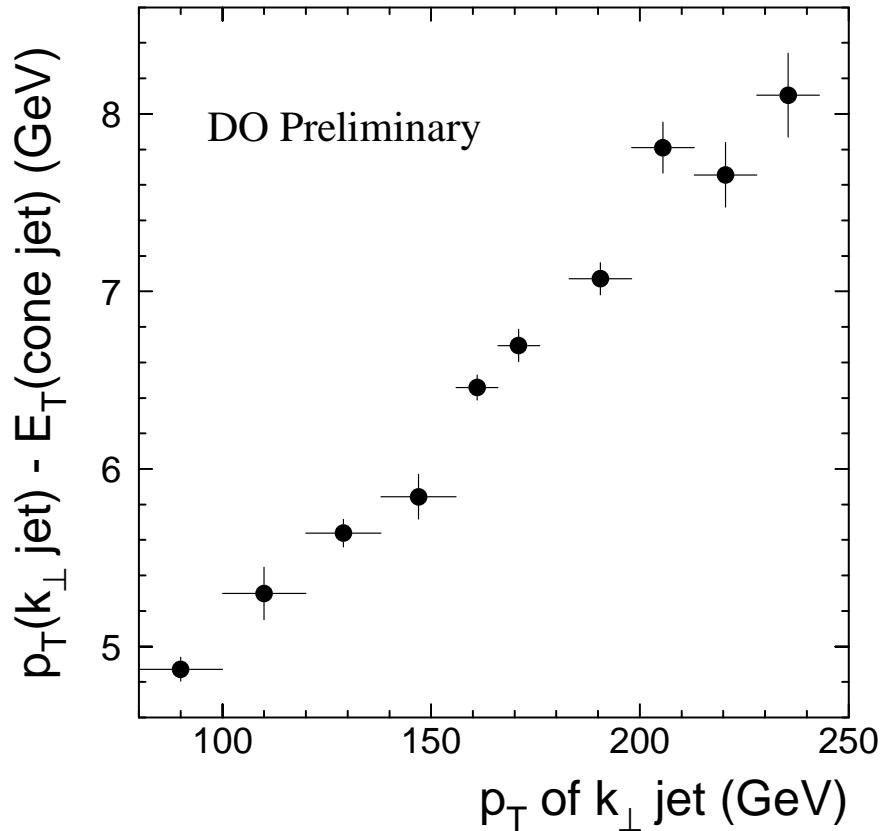
- Same definition for partons, Monte Carlo and data
- Allows subjet definitions

# $k_T$ and Cone Algorithm

- Use CTEQ4M and Herwig
- Match  $k_T$  jets with cone jets



99.9% of Jets have  $\Delta R < 0.5$



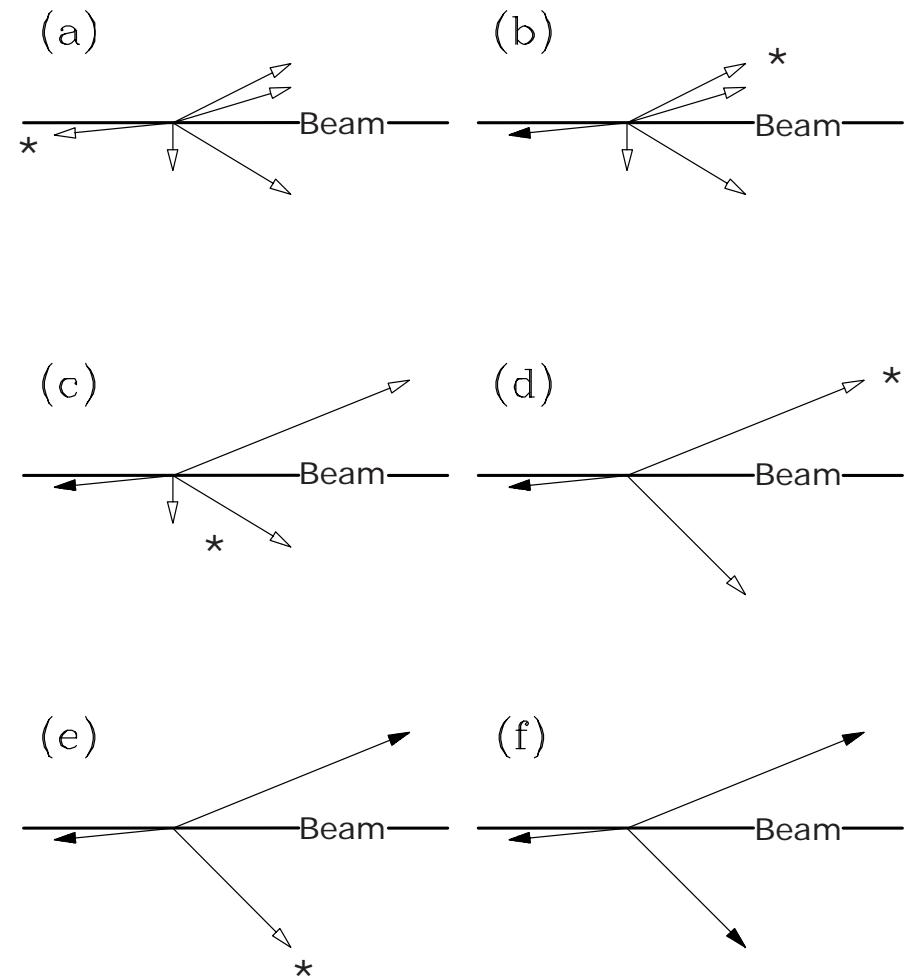
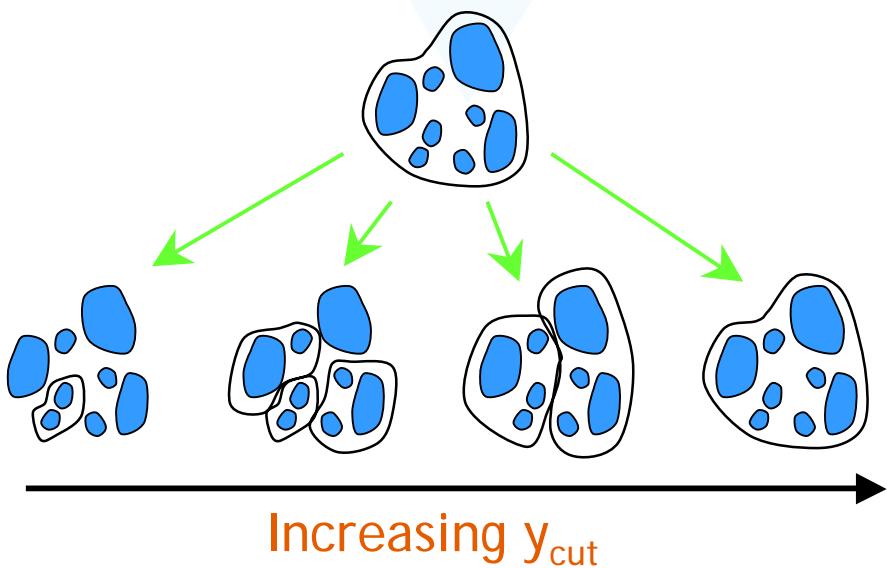
$p_T$  of  $k_T$  algorithm is slightly higher

# $k_T$ Algorithm and Subjets

For subjets, define "large"  $k_T$

$$\min(iE_T^2, jE_T^2) \frac{\Delta R_{ij}^2}{D^2} > y_{\text{cut}} E_T^2(\text{jet})$$

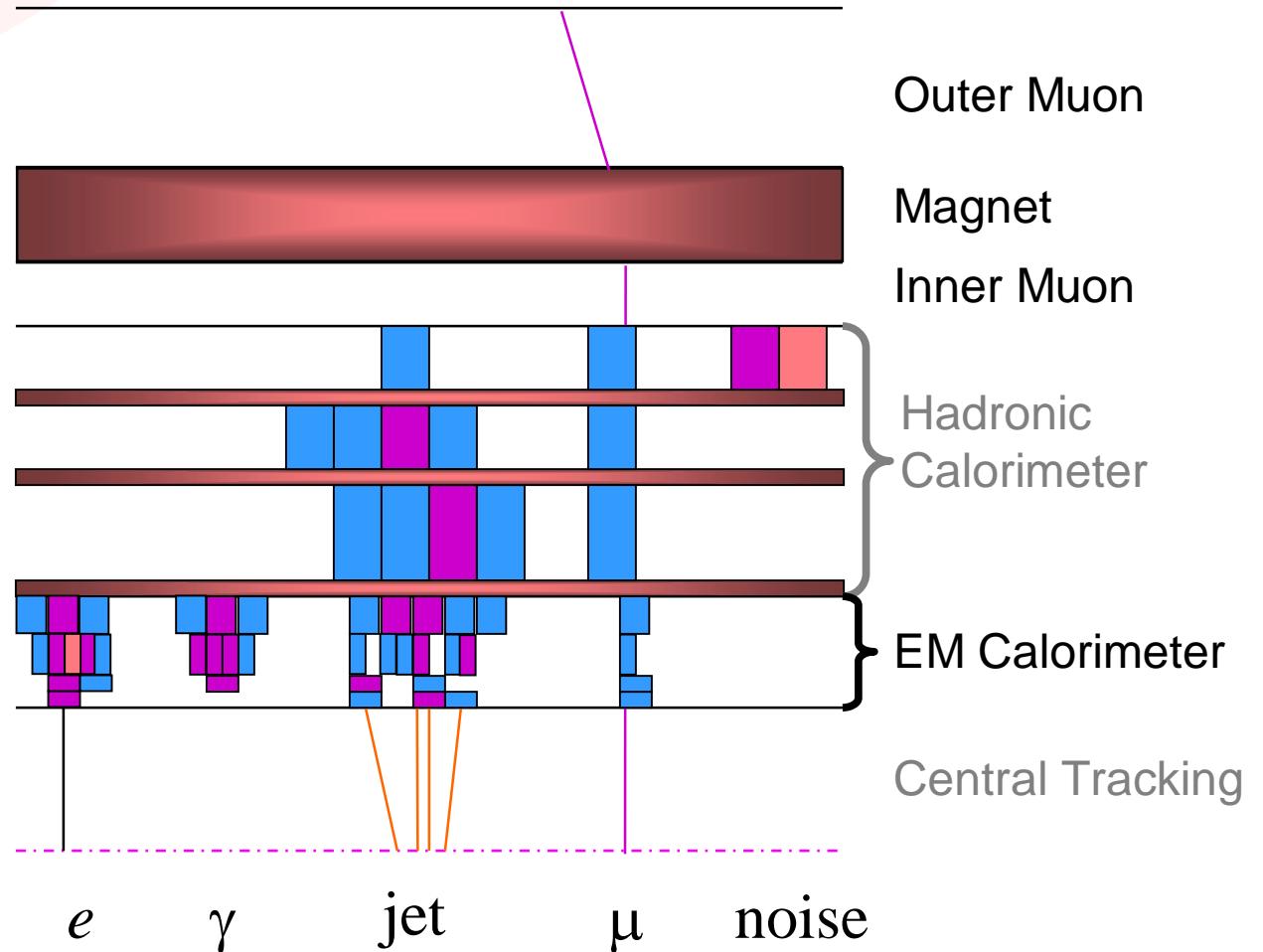
( $y_{\text{cut}} = 10^{-3}$ )



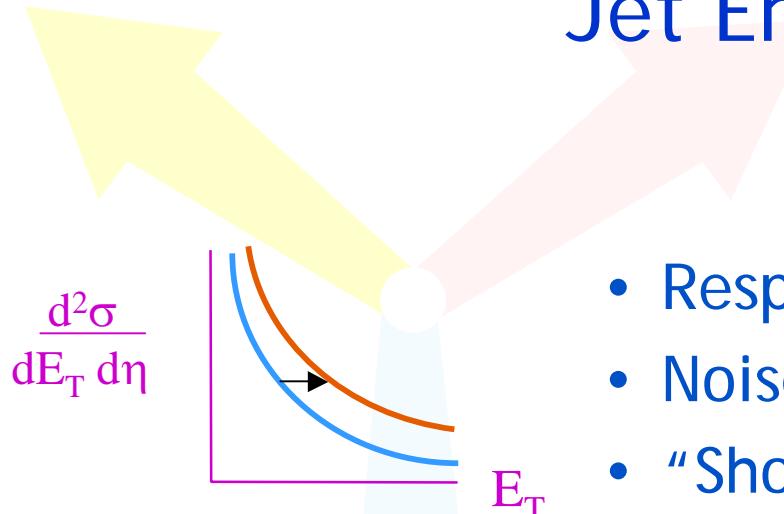
# Jet Selection Criteria

Typical selections  
on EM fraction,  
hot cells,  
missing  $E_T$ ,  
vertex position,  
etc.

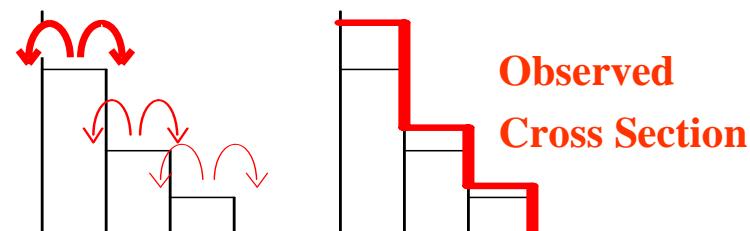
> 97% efficient  
> 99% pure



# Jet Energy Corrections



- Response functions
  - Noise and underlying event
  - “Showering”
- 
- Resolutions: Uncertainty on  $E_T$   
Estimated with dijet balancing or simulation



Important for cross section measurement

# Jet and Event Quantities

- Low  $E_T$  Multijet Studies
- Subjet Multiplicity

# DØ Low $E_T$ Multijet events

At high- $E_T$ , NLO QCD does quite well, but the number of jets at low- $E_T$  does not match as well.

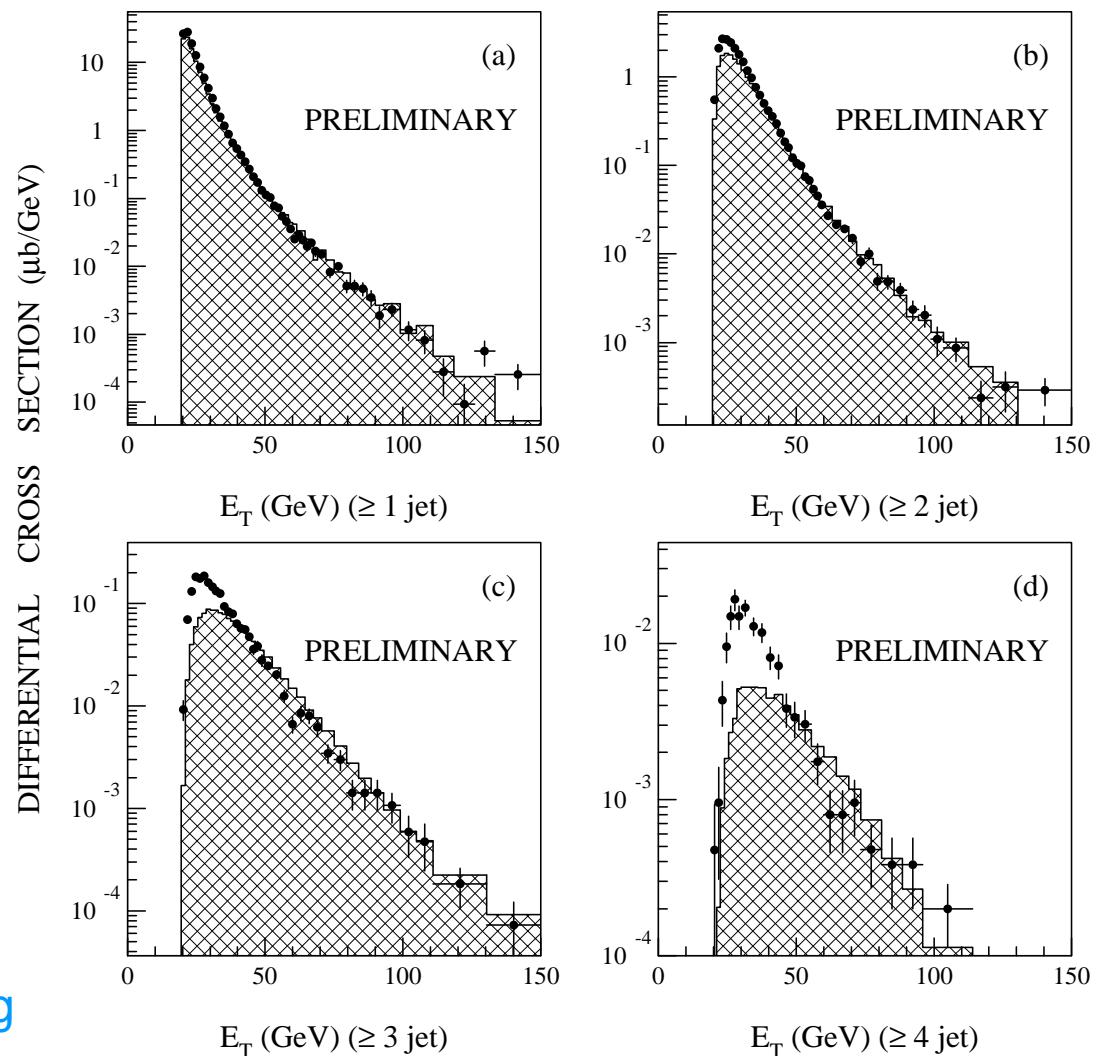
(Comparison with Pythia)

Each jet's  $E_T > 20$  GeV.

Theory normalized to 2-jet data  $> 40$  GeV.

Looking also at Jetrad and Herwig

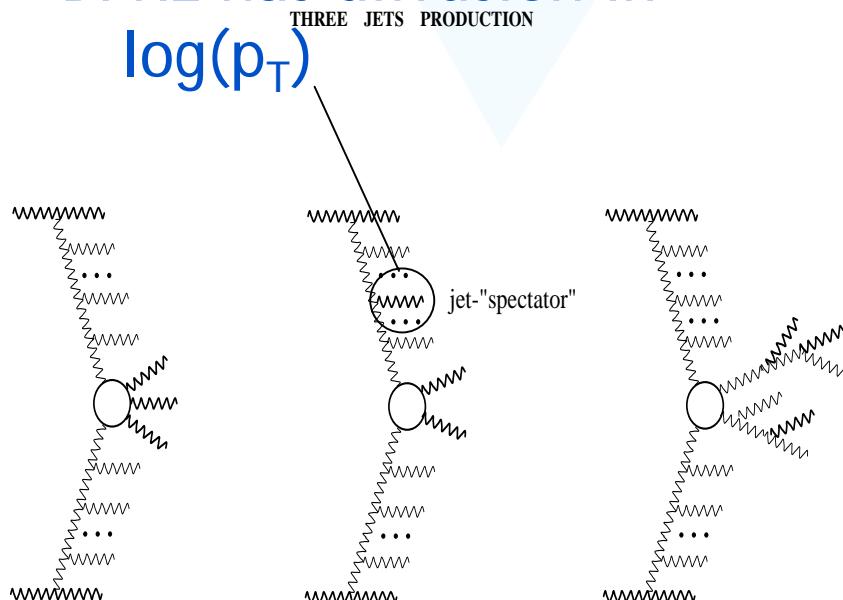
## $E_T$ of Leading Jet



# DØ Low $E_T$ Multijet events

Strong  $p_T$  ordering in DGLAP shower evolution may suppress “spectator jets” in Pythia

BFKL has diffusion in  $\log(p_T)$

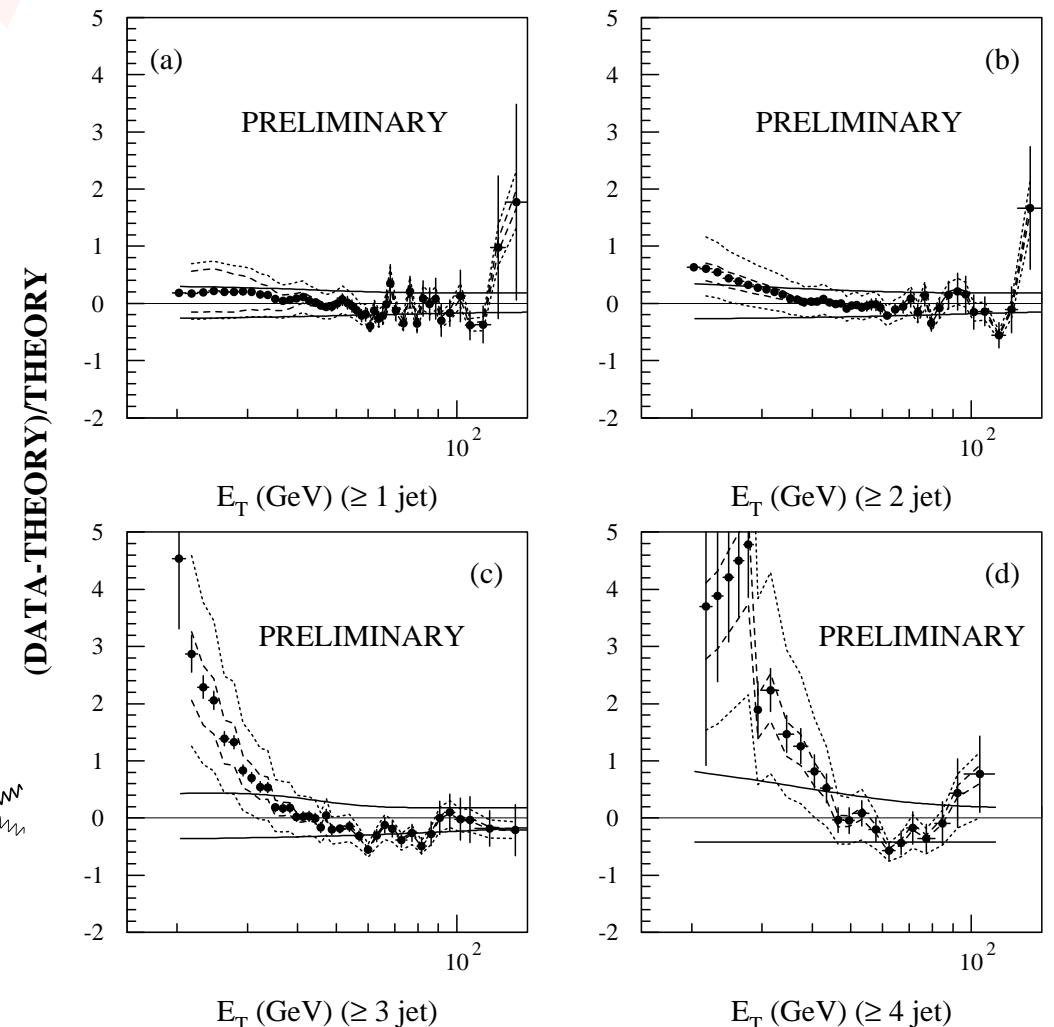


(a)  
PIC 2001

(b)

(c)

Michael Strauss



The University of Oklahoma

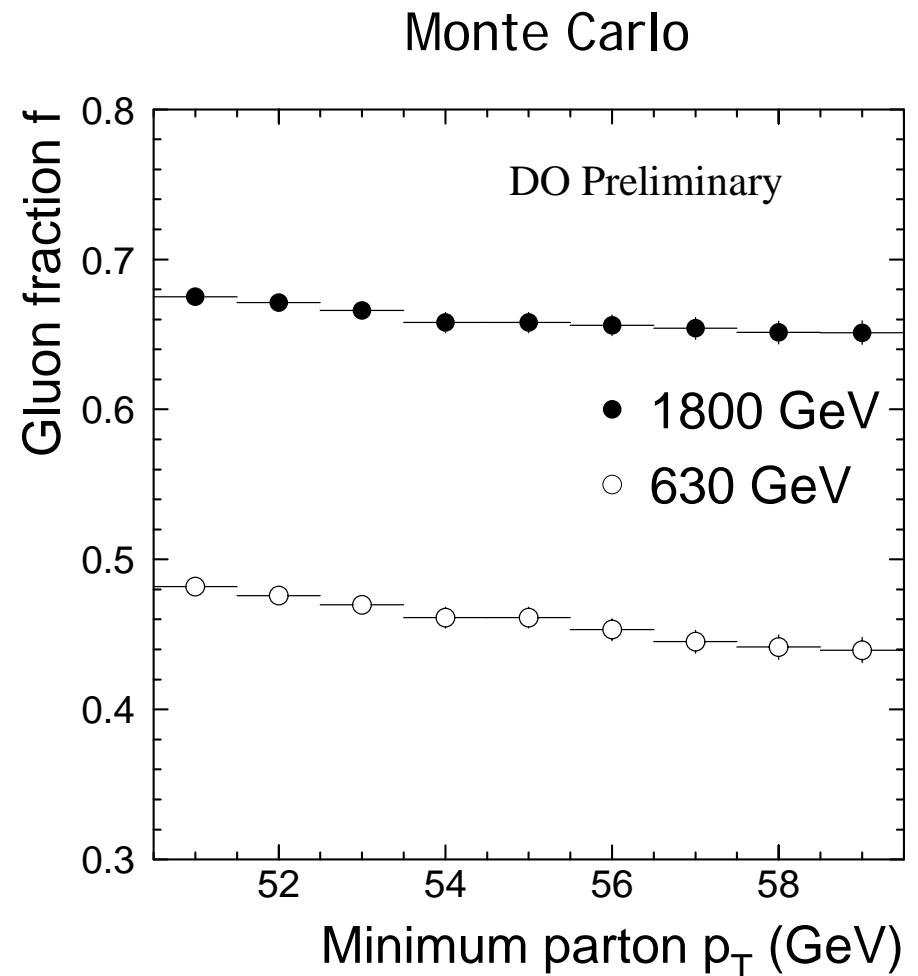
# DØ Subjet Multiplicity Using K<sub>T</sub> Algorithm

- Perturbative and resummed calculations predict that gluon jets have higher subjet multiplicity than quark jets, on average.
- Linear Combination:

$$\langle M \rangle = f_g M_g + (1-f_g) M_Q$$

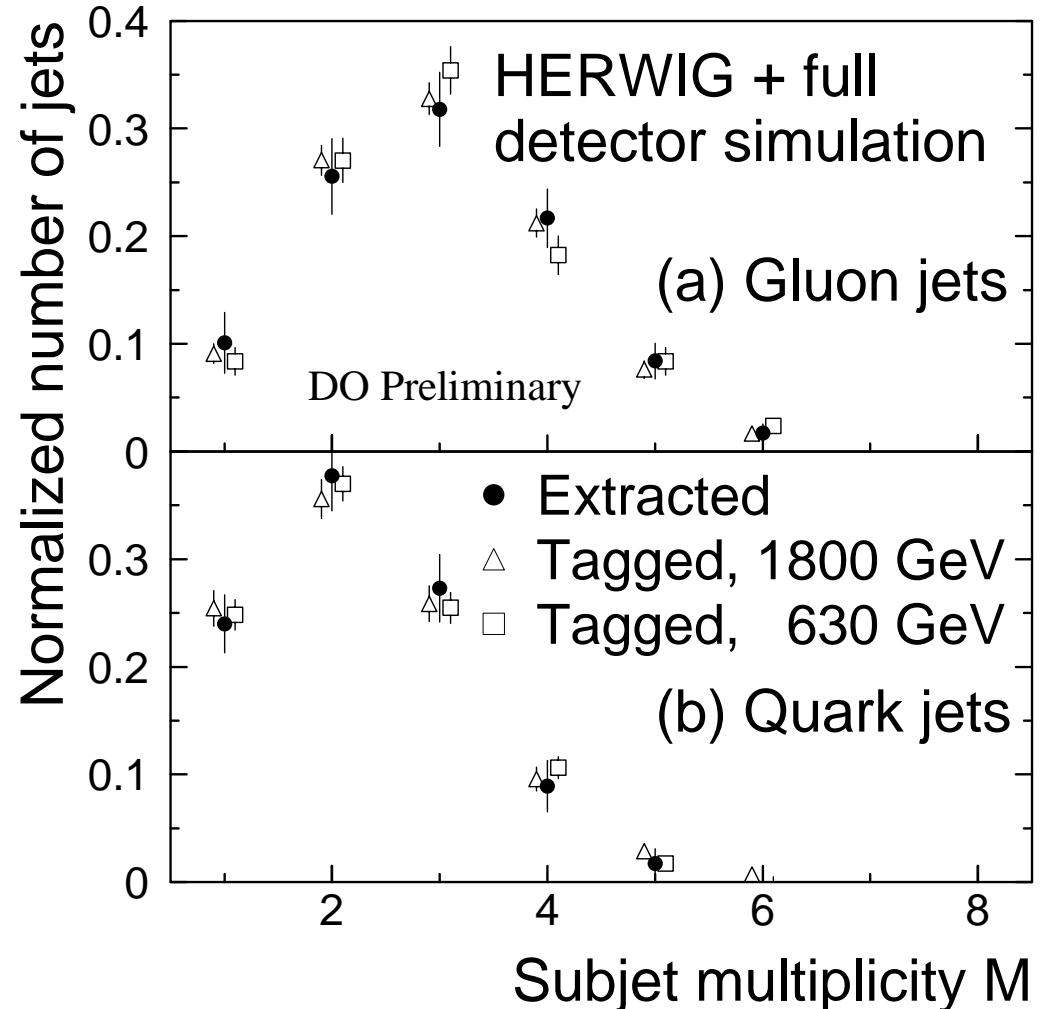
*Mean Jet Multiplicity*

*Gluon Jet Fraction*      *Quark Jet Fraction*



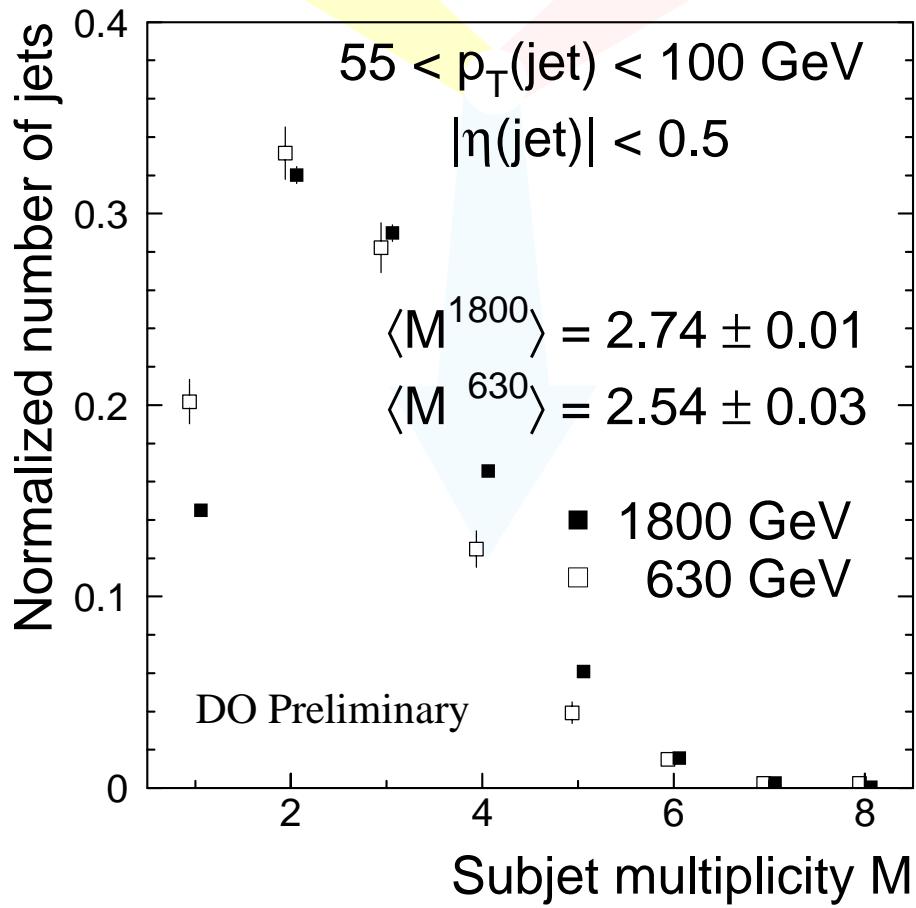
# DØ Subjet Multiplicity Using K<sub>T</sub> Algorithm

- Assume  $M_g, M_Q$  independent of  $\sqrt{s}$
- Measure  $M$  at two  $\sqrt{s}$  energies and extract the  $g$  and  $Q$  components

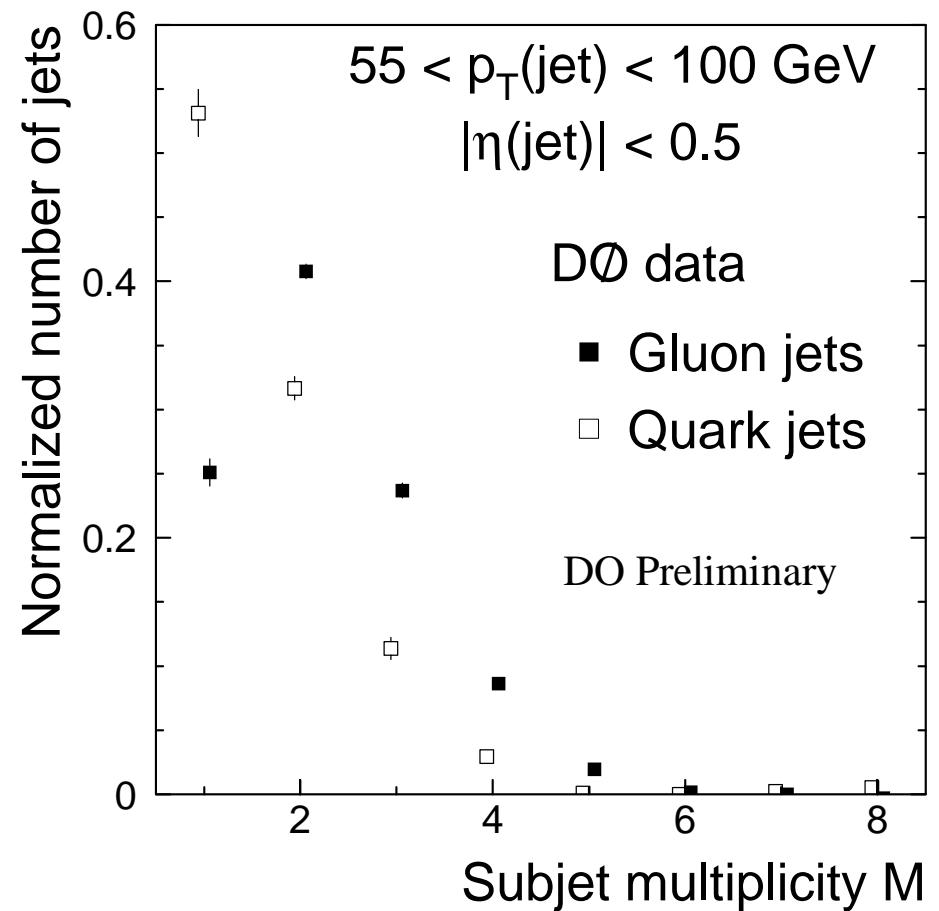


# DØ Subjet Multiplicity Using K<sub>T</sub> Algorithm

## Raw Subjet Multiplicities



## Extracted Quark and Gluon Multiplicities



Higher  $M \Rightarrow$  more gluon jets at 1800 GeV

# DØ Subjet Multiplicity Using K<sub>T</sub> Algorithm

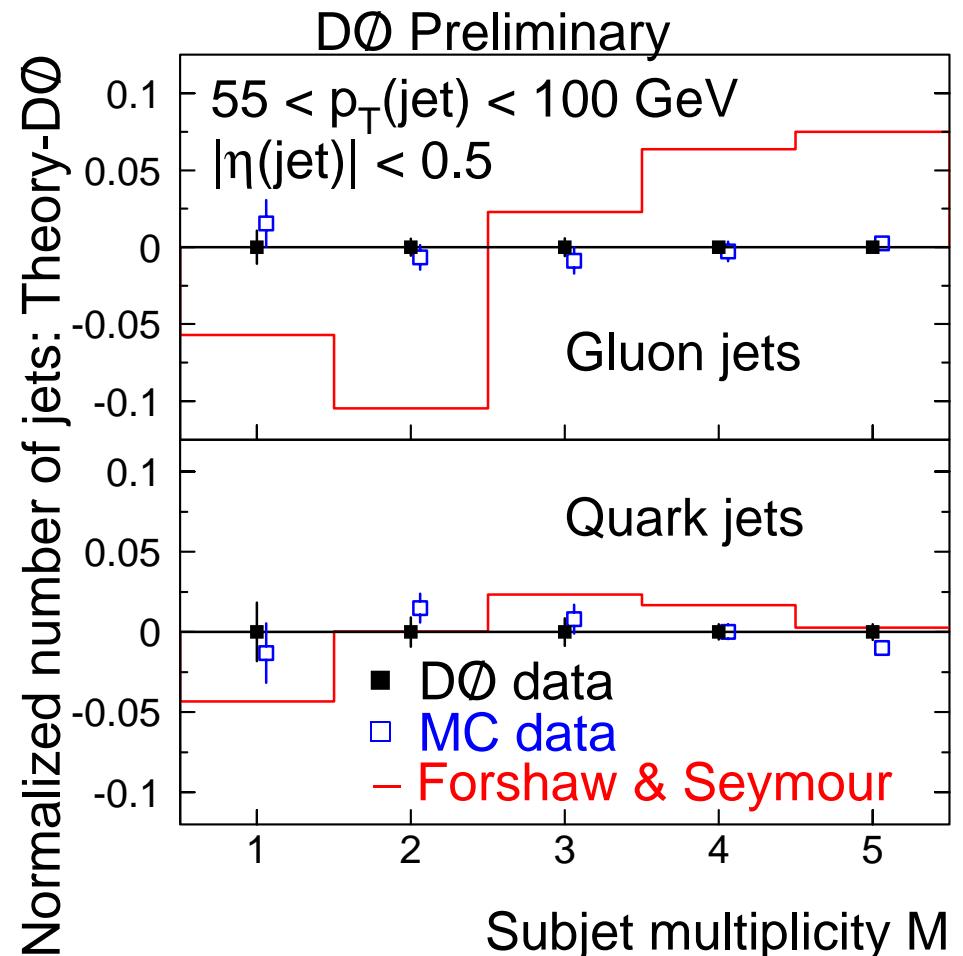
$$R = \frac{\langle M_g \rangle - 1}{\langle M_Q \rangle - 1}$$

$$R = 1.84 \pm 0.15 \text{ (stat)} \quad {}^{+0.22}_{-0.16} \text{ (syst)}$$

HERWIG prediction =  $1.91 \pm 0.16$  (stat)

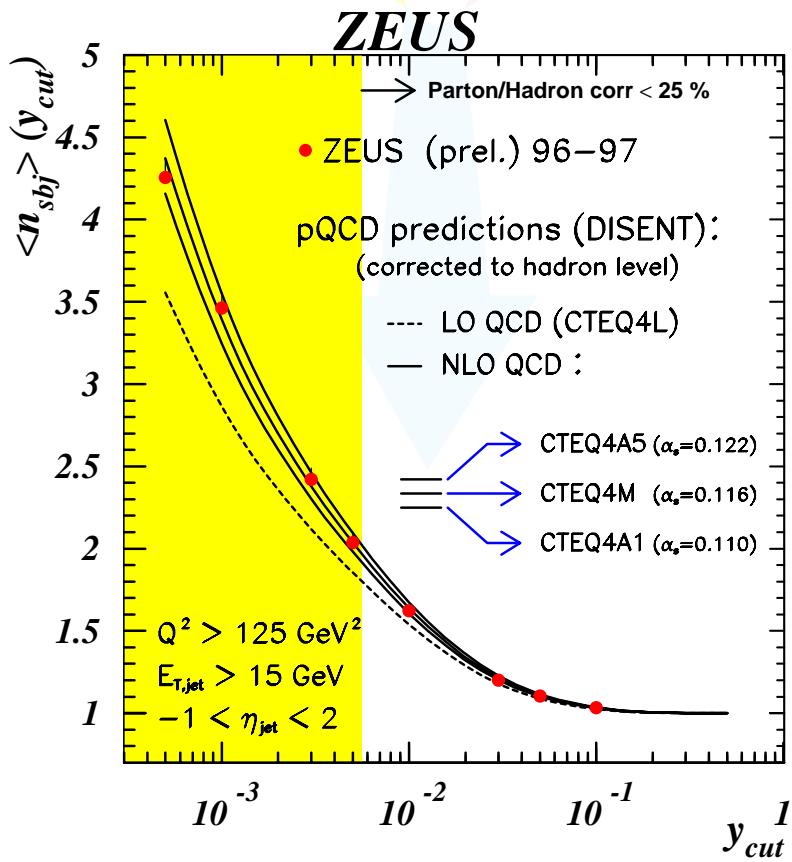
Largest uncertainty comes from the gluon fractions in the PDFs

Coming soon as a PRD



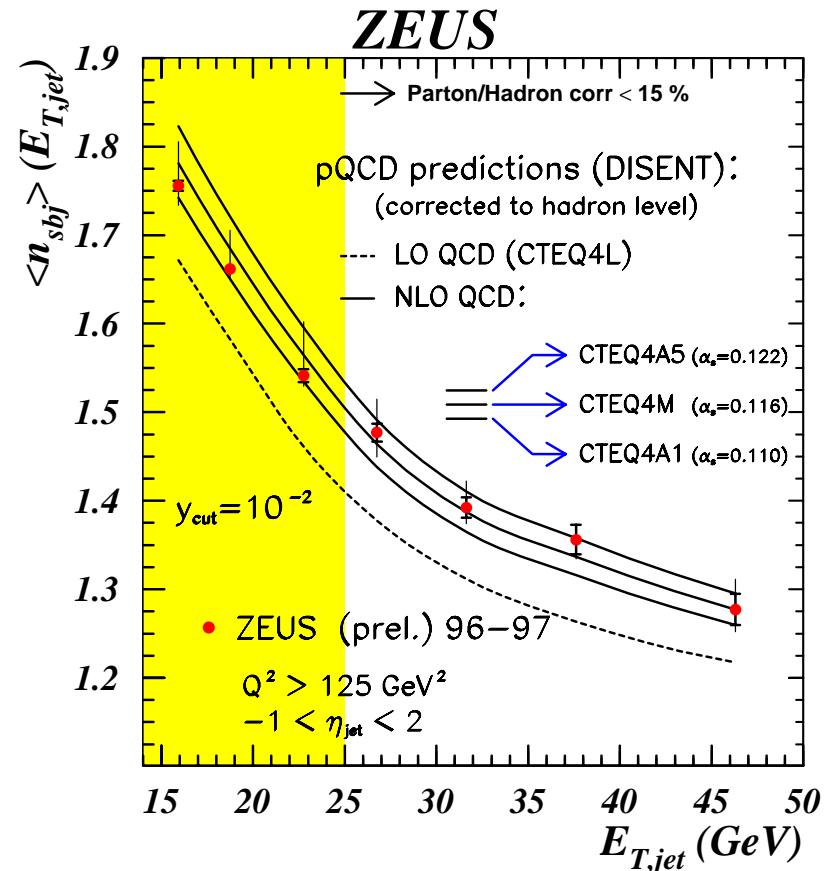
# ZEUS Subjet Multiplicity

- Comparison at hadron level
- Unfolded using Ariadne MC



NLO QCD describes data

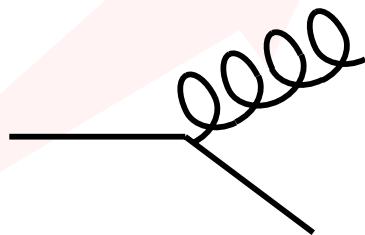
$$\langle n_{subj} \rangle = \frac{1}{N_{jet}} \sum_{k=1}^{N_{jet}} (n_{subj})_k$$



Sensitive to  $\alpha_s$

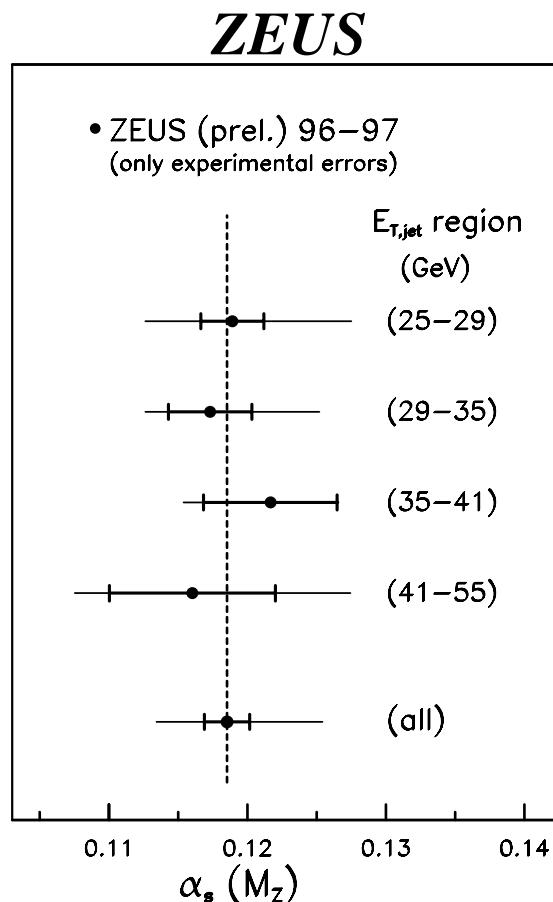
# $\alpha_s$ from ZEUS Subjets

$\langle n_{\text{sub}} - 1 \rangle \Rightarrow$



$\Rightarrow$  Proportional to  $\alpha_s$

- Major Systematic Errors
  - Model dependence (2-3%)
  - Jet energy scale (1-2%)
- Major Theoretical Errors
  - Variation of renormalization scale

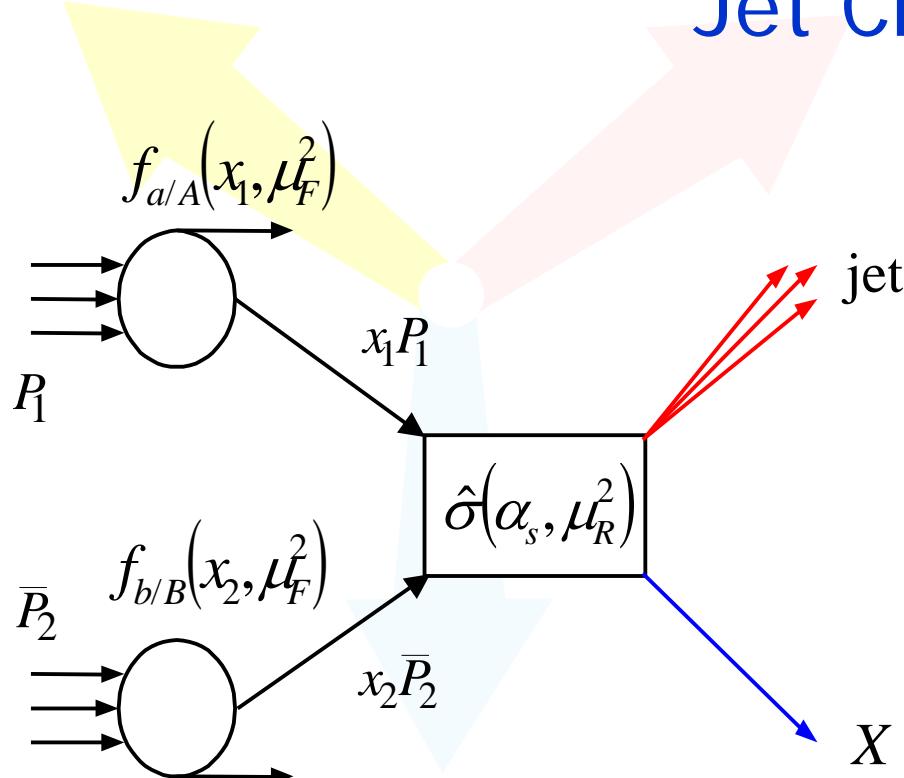


$$\alpha_s(M_z) = 0.1185 \pm 0.0016 \text{ (stat)} \quad {}^{+0.0067}_{-0.0048} \text{ (syst)} \quad {}^{+0.0089}_{-0.0071} \text{ (th)}$$

# Cross Sections

- Inclusive cross sections
- Rapidity dependence
- $K_T$  central inclusive
- $R_{32}$
- 630/1800 ratio of jet cross sections
- Di-Jets
- $\alpha_s$  Conclusions

# Jet Cross Sections



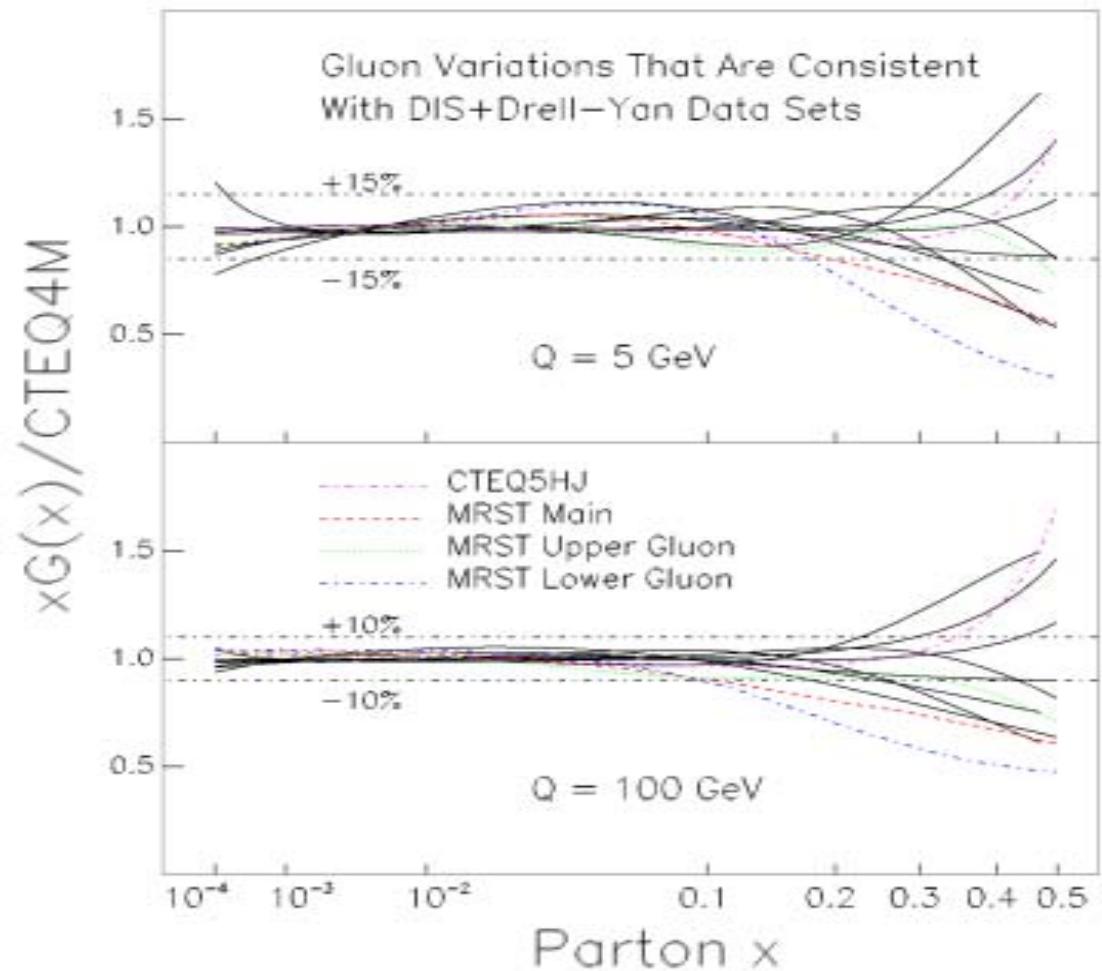
$$\sigma(p\bar{p} \rightarrow \text{jet} + X) =$$

$$\sum_{abcX} \int dx_1 dx_2 f_{a/A} f_{b/B} \hat{\sigma}(ab \rightarrow cX)$$

- How well are pdf's known?
- Are quarks composite particles?
- What are appropriate scales?
- What is the value of  $\alpha_s$ ?
- Is NLO ( $\alpha_s^3$ ) sufficient?

# CTEQ Gluon Distribution Studies

- Momentum fraction carried by quarks is very well known from DIS data
- Fairly tight constraints on the gluon distribution except at high  $x$
- Important for high  $E_T$  jet production at the Tevatron and direct photon production



# Experimental Differential Cross Section

$$\frac{E d^3\sigma}{dp^3} = \sum x_1 F(x_1) x_2 F(x_2) \frac{d\hat{\sigma}}{d\hat{t}}(ab \rightarrow cX)$$

Theoretical cross section

$$= \frac{d^3\sigma}{d \cos\theta dx_1 dx_2}$$

Physics variables are  $\theta$  and  $x$

$$= \frac{d^3\sigma}{dE_T^2 d\eta} = \frac{1}{2\pi E_T} \frac{d^2\sigma}{dE_T d\eta}$$

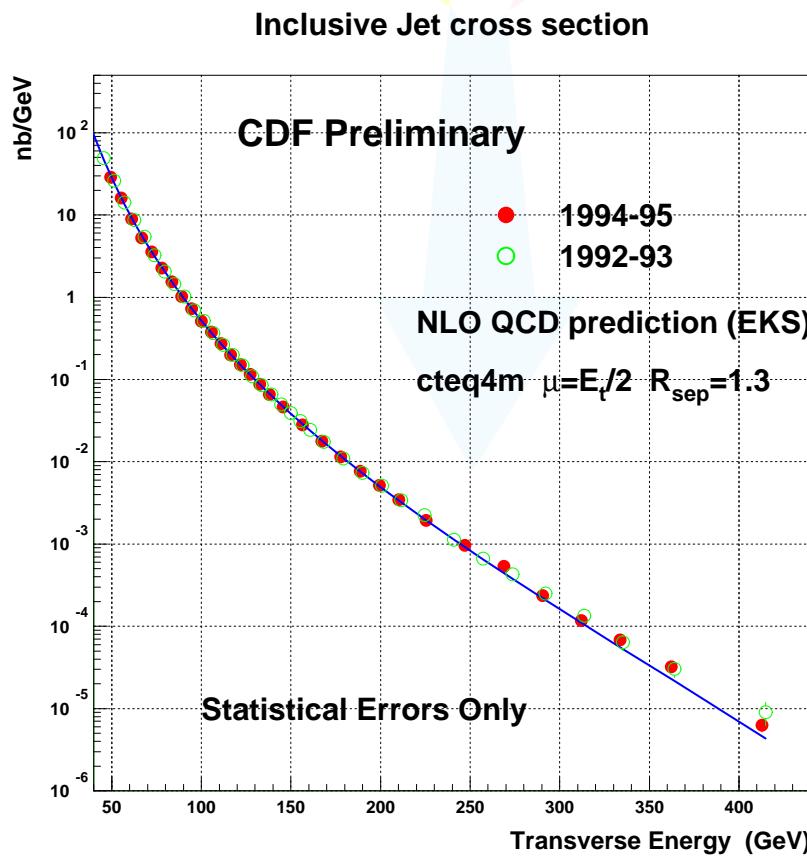
Detector measures  $E_T$  and  $\eta$

$$= \frac{1}{2\pi E_T} \frac{N}{\Delta E_T \Delta\eta \varepsilon \int L dt}$$

Counting experiment with detector inefficiencies

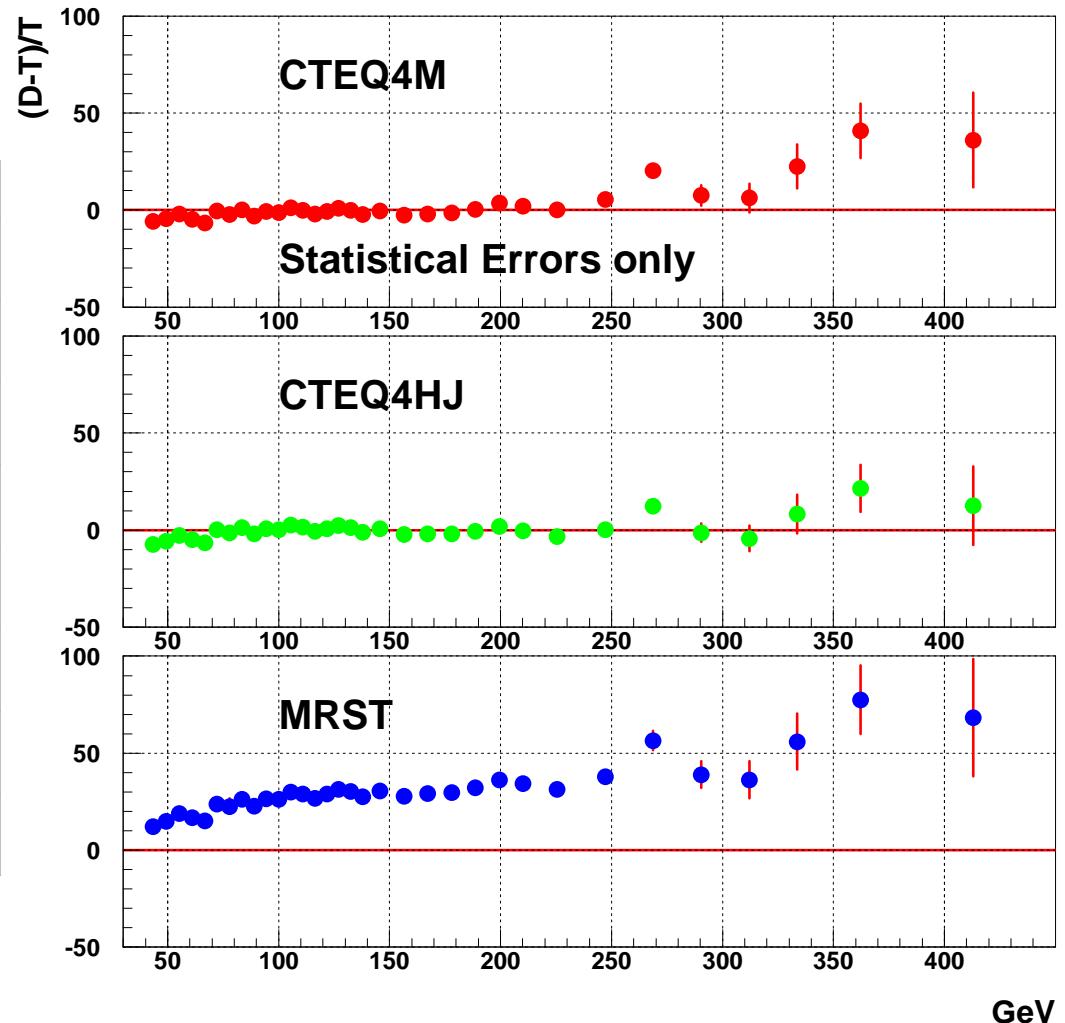
# CDF Inclusive Jet Cross Section

- $0.1 < |\eta| < 0.7$
- Complete  $\chi^2$  calculation



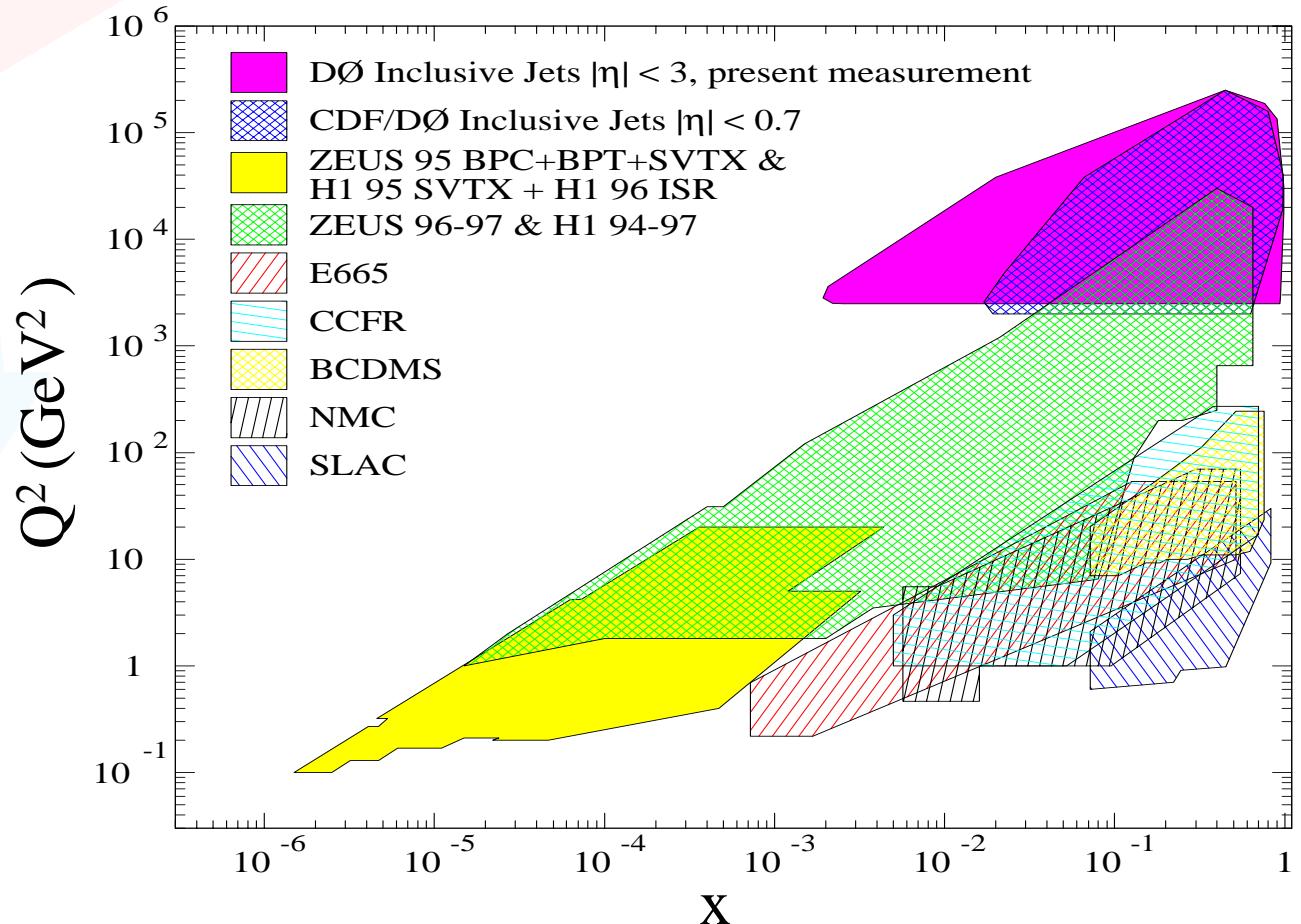
PRD 64, 032001 (2001)

Inclusive Jet Cross Section (CDF Preliminary)



# $x$ - $Q^2$ Measured Parameter Space

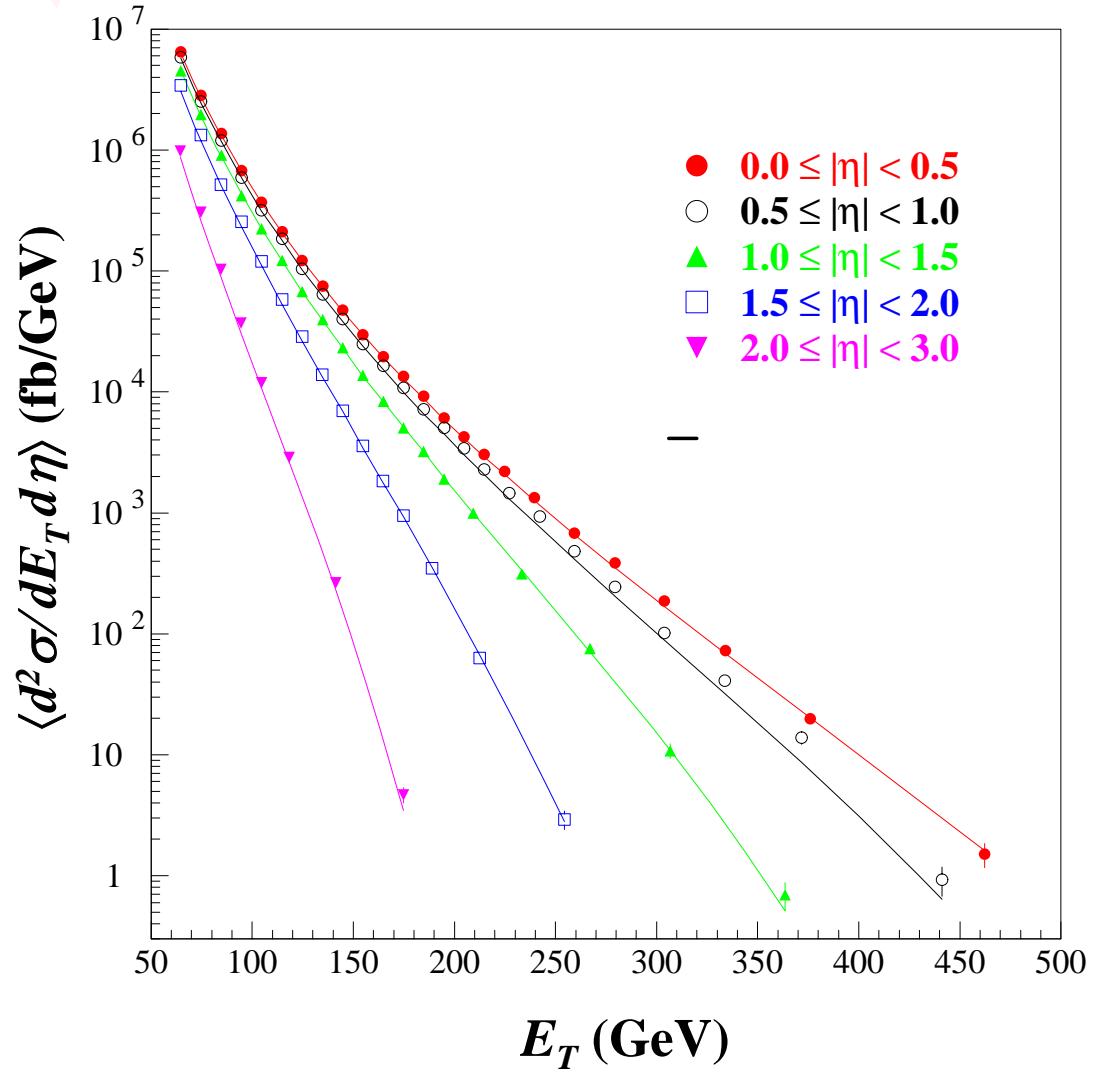
From DØ  
Inclusive Cross  
Section  
Measurement



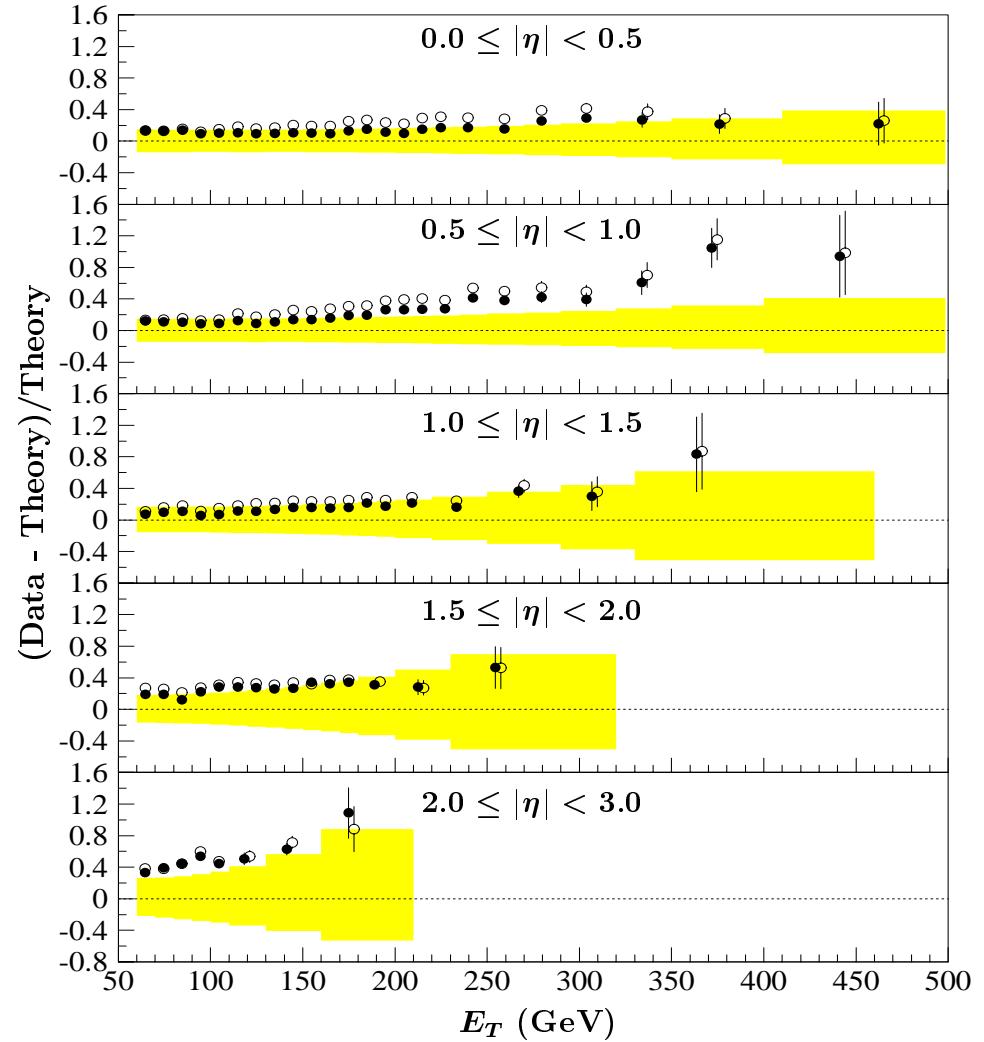
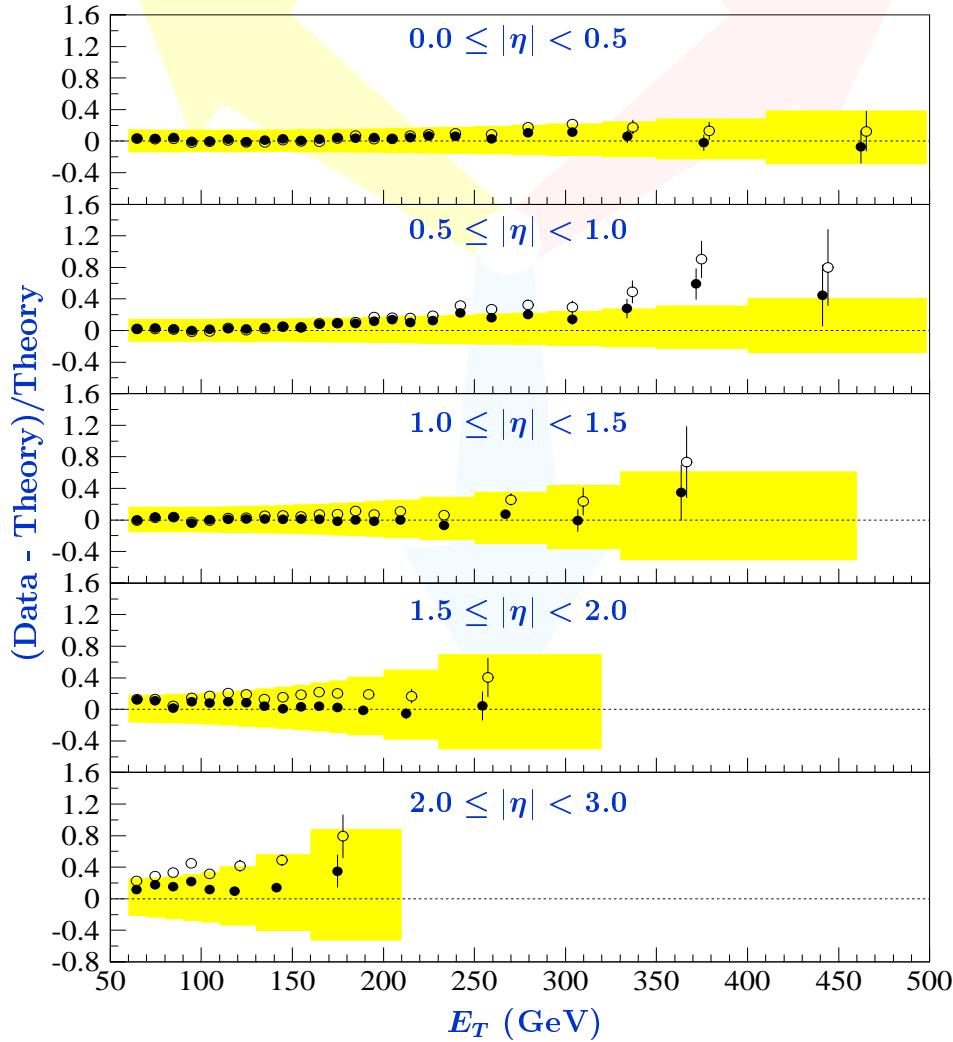
# DØ Inclusive Jet Cross Section

- Five rapidity regions
- Largest systematic uncertainty due to jet energy scale
- Curves are CTEQ4M

PRL 86, 1707 (2001)



# DØ Inclusive Jet Cross Section



CTEQ4HJ  
CTEQ4M

MRSTg $\uparrow$   
MRST

# Gluon PDF Conclusions

PDF	$\chi^2$	$\chi^2/\text{dof}$	Prob
CTEQ3M	121.56	1.35	0.01
CTEQ4M	92.46	1.03	0.41
CTEQ4HJ	59.38	0.66	0.99
MRST	113.78	1.26	0.05
MRSTgD	155.52	1.73	<0.01
MRSTgU	85.09	0.95	0.63

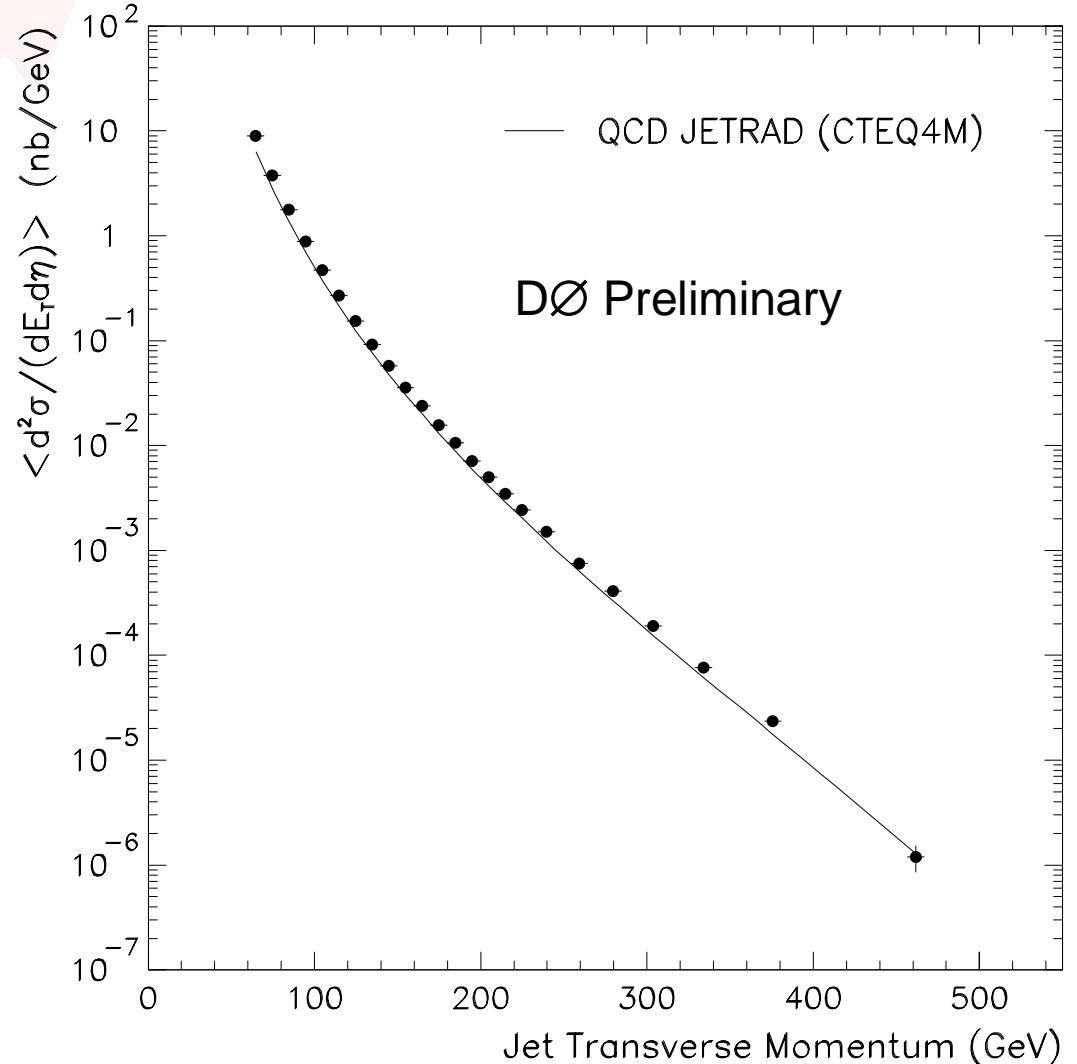
- $\chi^2$  determined from complete covariance matrix
- Best constraint on gluon PDF at high x
- Currently being incorporated in new global PDF fits

# Inclusive Cross Section Using $K_T$ Algorithm

$-0.5 < \eta < 0.5$

$D = 1.0$

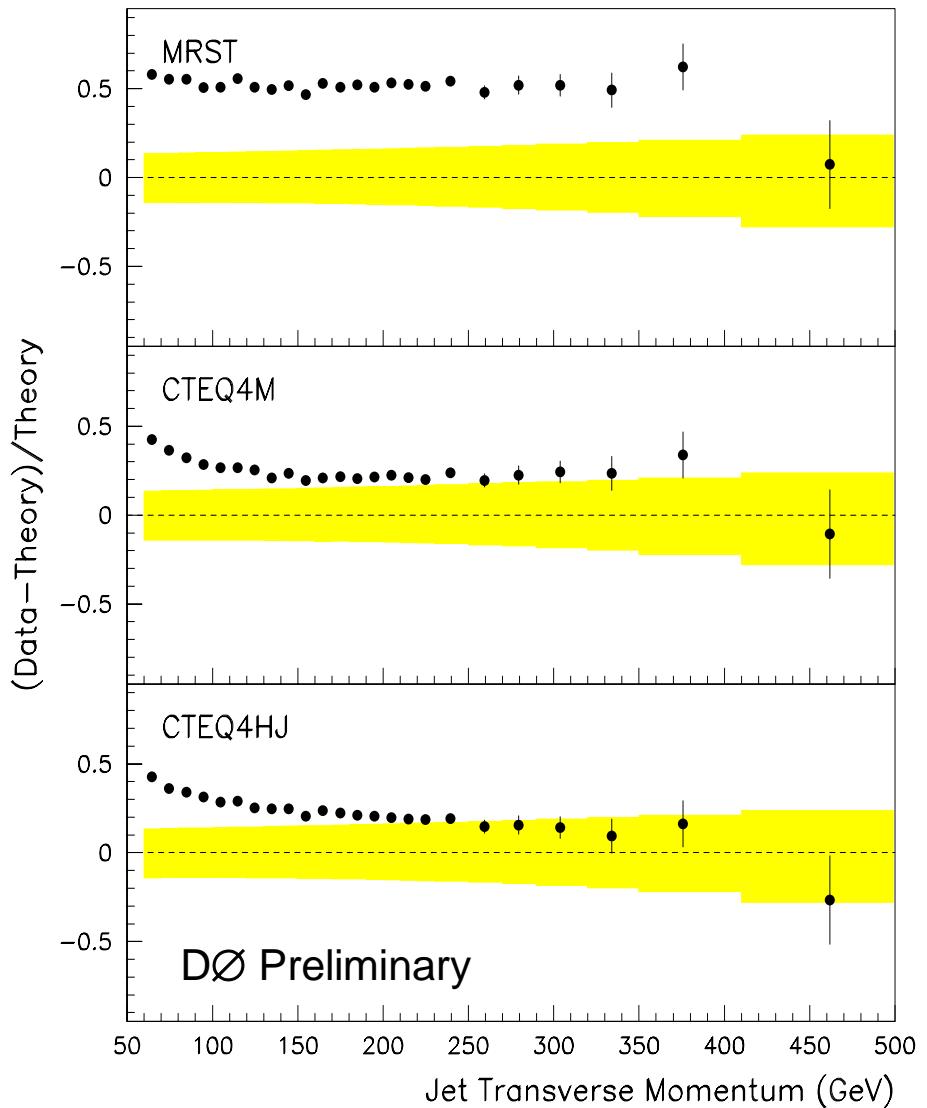
- Predictions IR and UV safe
- Merging behavior well-defined for both experiment and theory



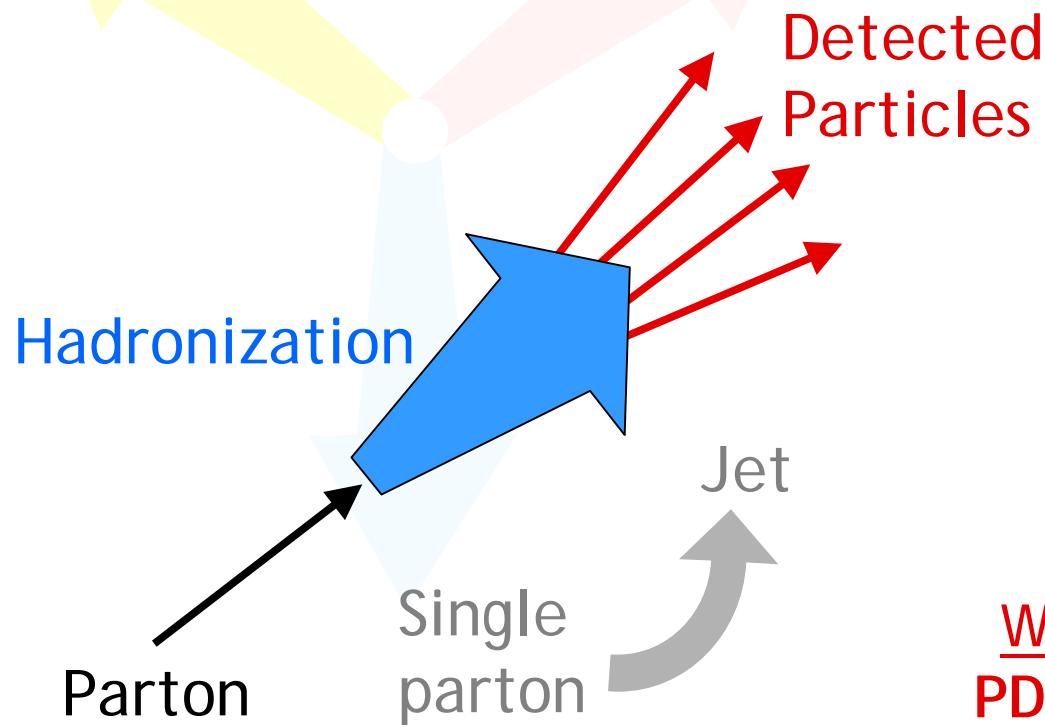
# Comparison with Theory

- Normalization differs by 20% or more
- No statistically significant deviations of predictions from data
- When first 4 data points ignored, probabilities are 60-80%

PDF	$\chi/\text{dof}$	Prob
MRST	1.12	31
MRSTg $\uparrow$	1.38	10
MRSTg $\downarrow$	1.17	25
CTEQ3M	1.56	4
CTEQ4M	1.30	15
CTEQ4HJ	1.13	29



# Further $k_T$ Developments (since PIC 2001)



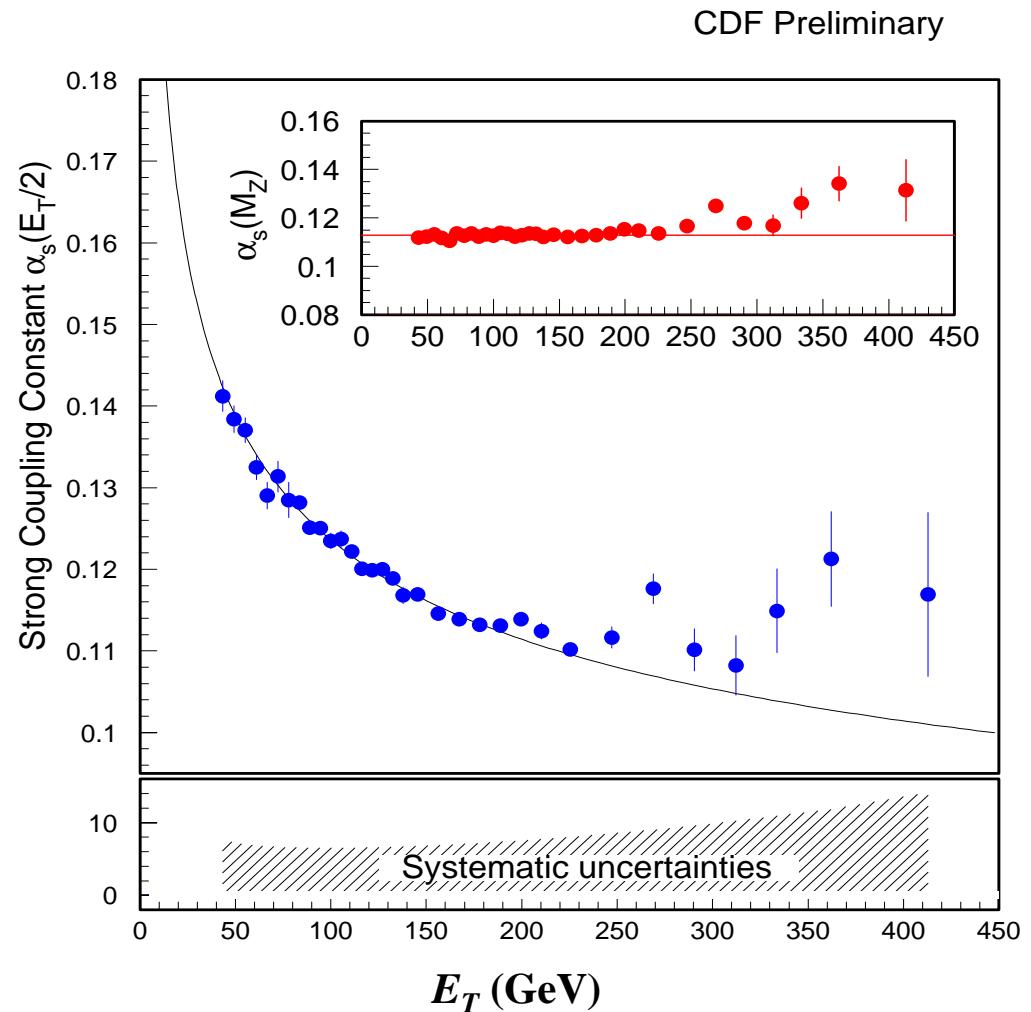
<u>With hadronization correction</u>		
PDF	$\chi/\text{dof}$	Prob
MRST	0.86	71
CTEQ4M	1.06	44

Correction for hadronization explains low  $E_T$  behavior

# CDF $\alpha_s$ from Inclusive Cross Section

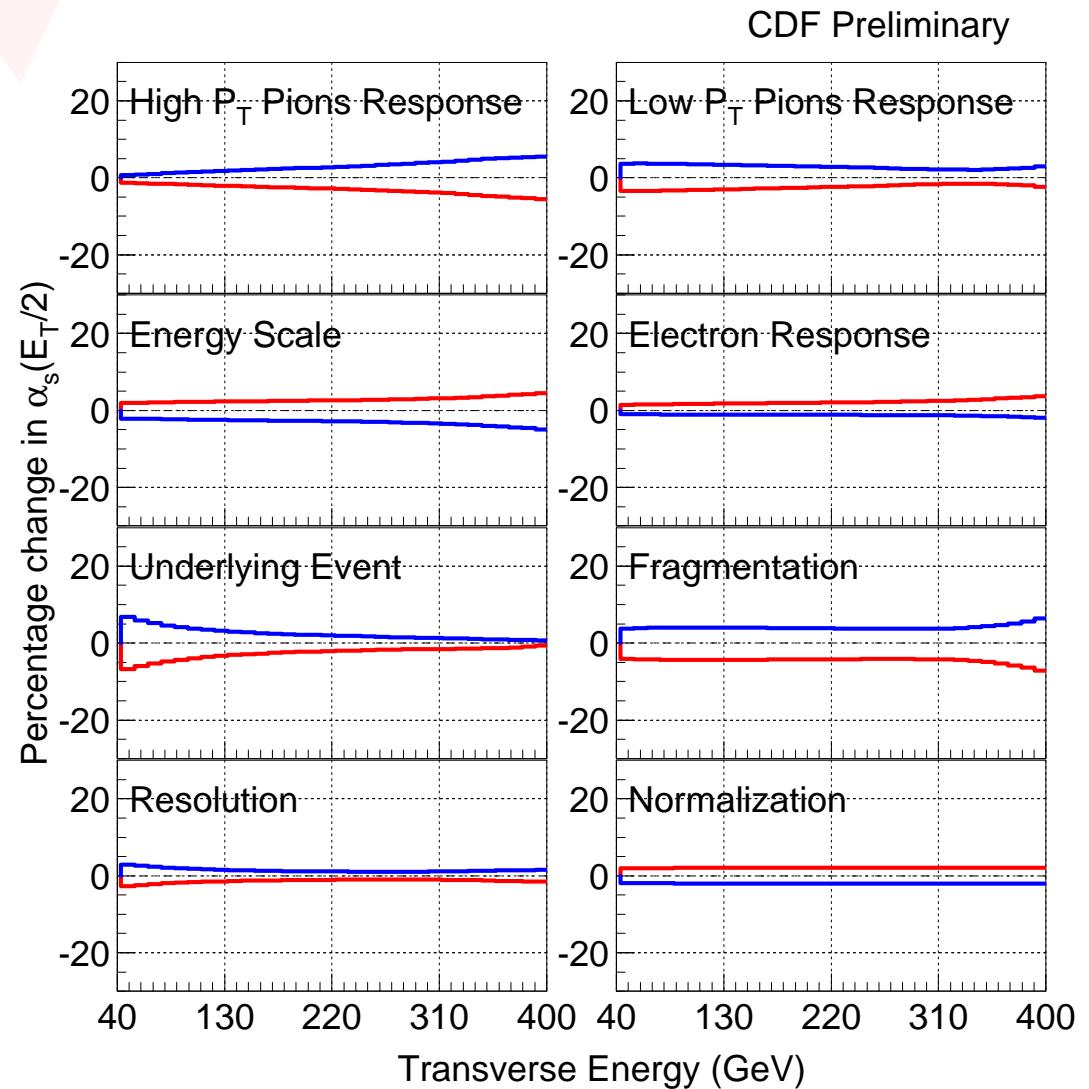
$$\frac{d\sigma}{dE_T} = \alpha_s^2(\mu_R) X^{(0)}(\mu_R, \mu_F) [1 + \alpha_s(\mu_R) k_1(\mu_R, \mu_F)]$$

- $\alpha_s^2 X^{(0)}$  is LO prediction
- $\alpha_s^3 X^{(0)} k_1$  is NLO prediction
- $X^{(0)}$  and  $k_1$  determined from JETRAD
- $\overline{\text{MS}}$  scheme used
- Jet cone algorithm used with  $R_{\text{sep}} = 1.3$
- $\alpha_s$  determined in 33  $E_T$  bins



# CDF $\alpha_s$ from Inclusive Cross Section

- Experimental systematic uncertainty
- Largest at low  $E_T$  is underlying event
- Largest at high  $E_T$  is fragmentation and pion response



# CDF $\alpha_s$ from Inclusive Cross Section

$\mu$  scale is the major source of theoretical uncertainty

$$E_T/2 < \mu < 2E_T$$

PDF affects  $\alpha_s$

CTEQ4M minimizes  $\chi^2$

Theoretical uncertainties each  $\sim 5\%$

$$\alpha_s(M_Z) = 0.1129 \pm 0.0001 \text{ (stat)} {}^{+0.0078}_{-0.0089} \text{ (exp. syst)}$$

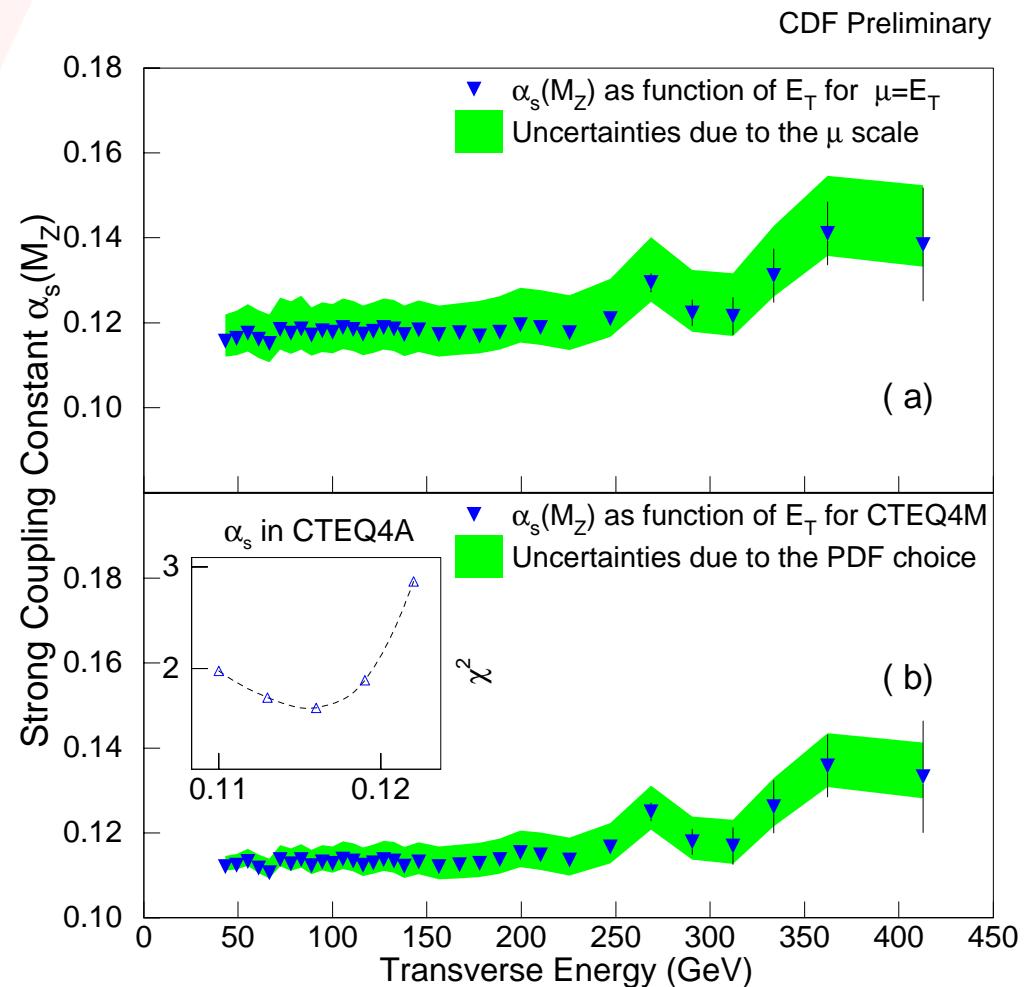
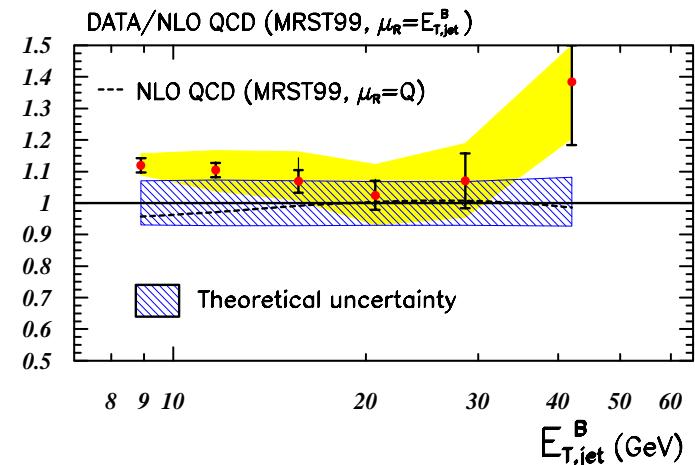
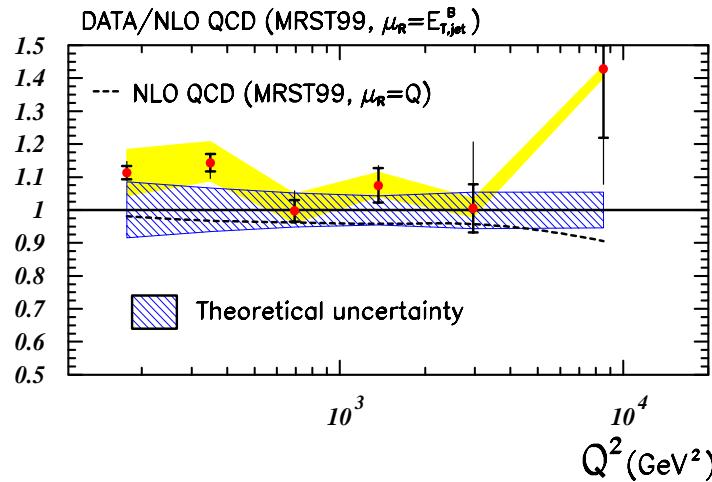
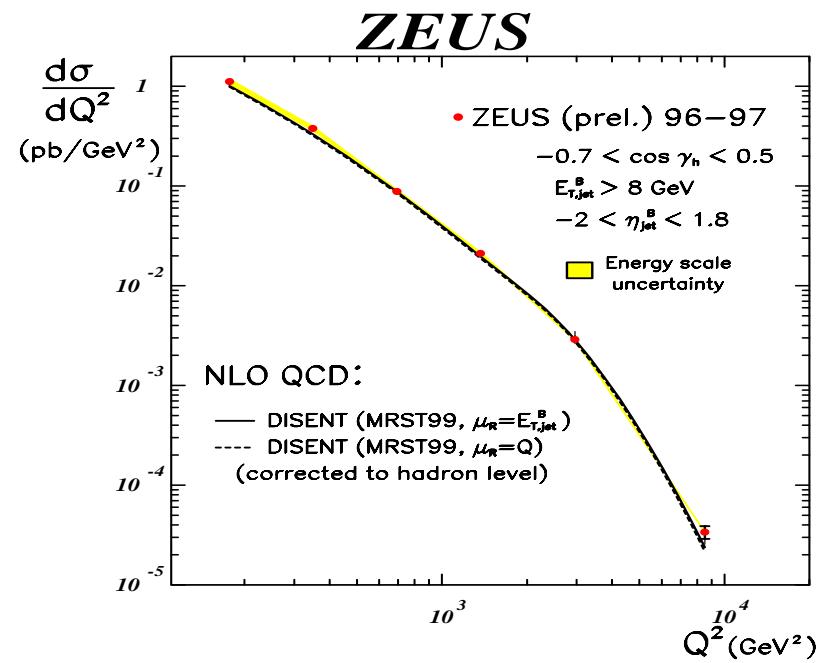
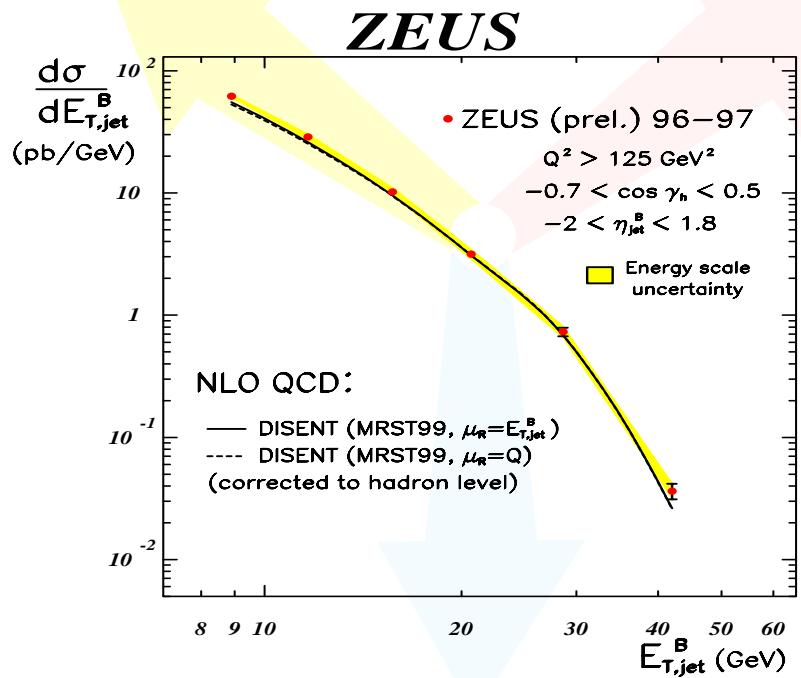


FIG. 3. Uncertainties due to the renormalization scale (a) and parton distribution functions (b).

The inset shows the variation of  $\chi^2$  for the CTEQ4A PDF family, with minimal value corresponding to CTEQ4M PDF set (from  $\alpha_s=0.116$ ).

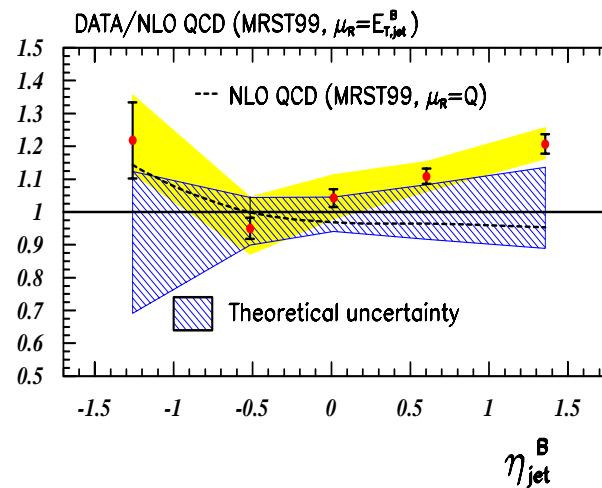
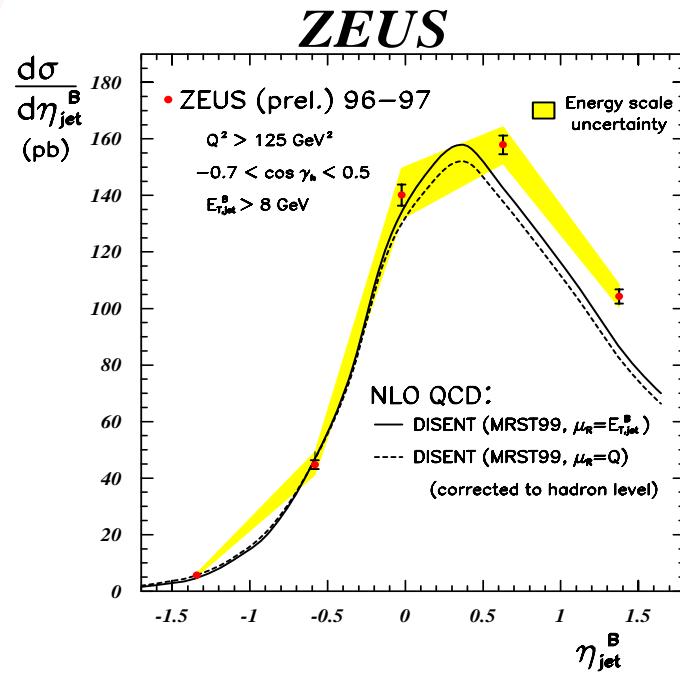
# ZEUS Inclusive Jet Production



# ZEUS Inclusive Jet Production



Measured cross section  
slightly above NLP pQCD  
in forward section



# ZEUS Inclusive Jet Production

$\alpha_s$  Results:

Uses various fits of  $d\sigma/dQ^2$  and  $d\sigma/dE_T$

Full phase-space

$$\alpha_s(M_Z) = 0.1241 \pm 0.0009 \text{ (stat)} \quad {}^{+0.0043}_{-0.0038} \text{ (exp)} \quad {}^{+0.0053}_{-0.0036} \text{ (th)}$$

High- $Q^2$  region ( $Q^2 > 500 \text{ GeV}^2$ )

$$\alpha_s(M_Z) = 0.1190 \pm 0.0017 \text{ (stat)} \quad {}^{+0.0049}_{-0.0023} \text{ (exp)} \quad {}^{+0.0026}_{-0.0026} \text{ (th)}$$

High- $E_T$  region ( $> 14 \text{ GeV}$ )

$$\alpha_s(M_Z) = 0.1206 \pm 0.0015 \text{ (stat)} \quad {}^{+0.0058}_{-0.0045} \text{ (exp)} \quad {}^{+0.0041}_{-0.0030} \text{ (th)}$$

# R<sub>32</sub>: Motivation and Method

- Study the rate of soft jet emission (20-40 GeV)
  - QCD multijet production - background to interesting processes
  - Predict rates at future colliders
- Improve understanding of the limitations of pQCD
  - Identify renormalization sensitivity
  - Does the introduction of additional scales improve agreement with data ?
- Measure the Ratio

$$R_{32} = \frac{\sigma_3(p\bar{p} \rightarrow 3 + \text{jets})}{\sigma_2(p\bar{p} \rightarrow 2 + \text{jets})} \text{ vs. } H_T$$

- with H<sub>T</sub>

$$H_T = \sum_{\text{jets}} E_T$$

- for all jets with
  - E<sub>T</sub> > 20, 30, 40 GeV for η<3 and E<sub>T</sub> > 20 GeV for η<2

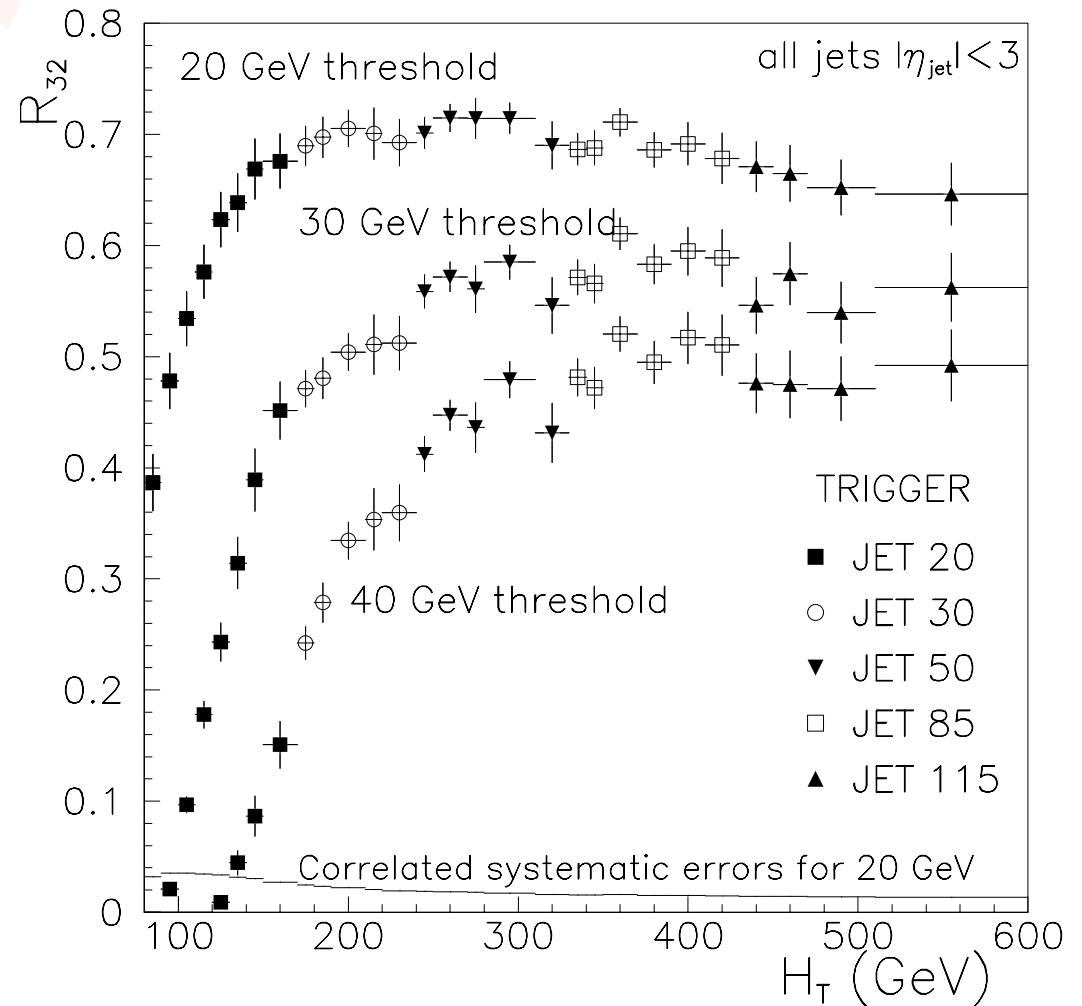
# Inclusive $R_{32}$

## Features:

- Rapid rise  $H_T < 200 \text{ GeV}$
- Levels off at high  $H_T$

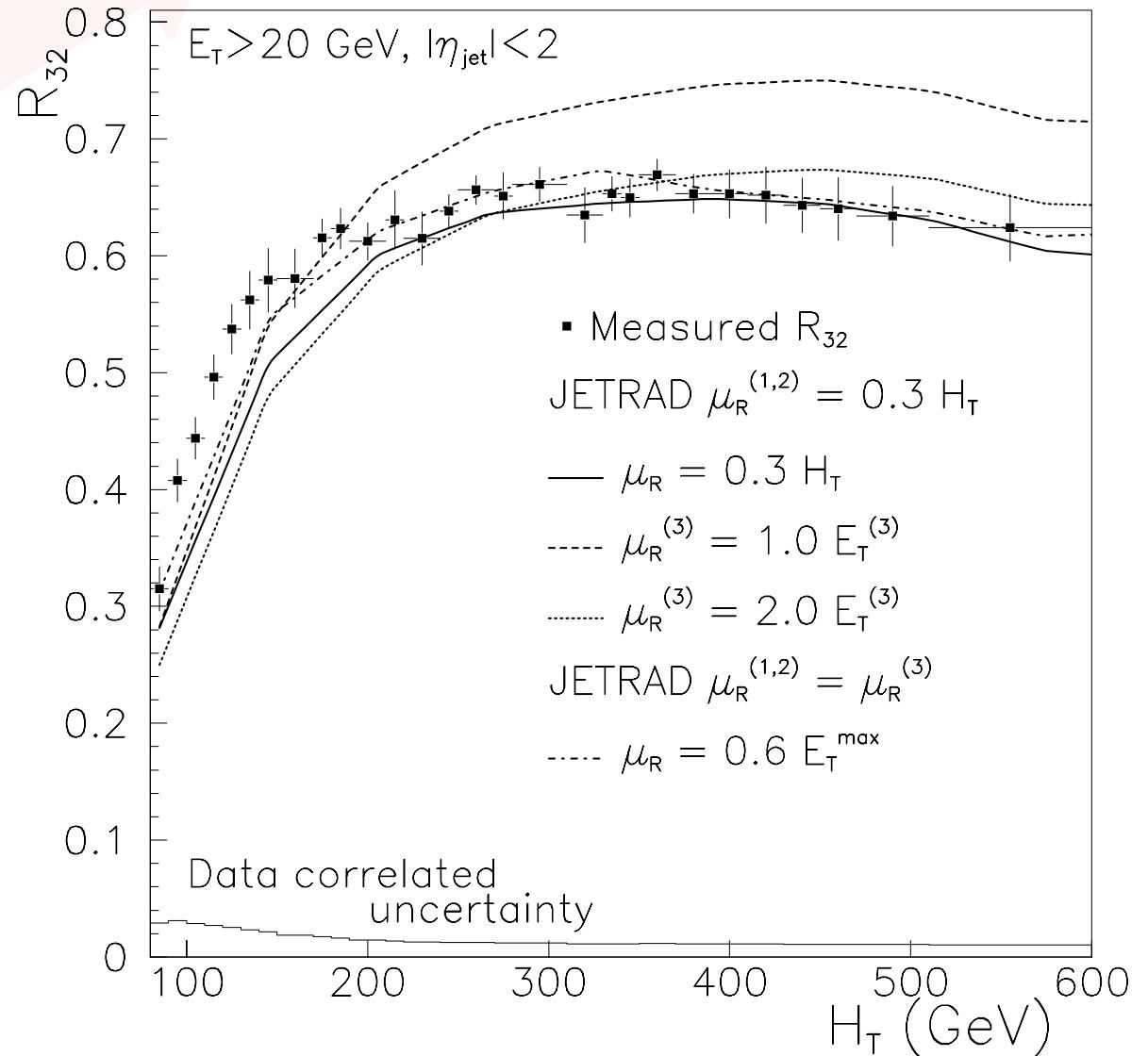
## Interesting:

- 70% of high  $E_T$  jet events have a third jet above 20 GeV
- 50% have a third jet above 40 GeV



# $R_{32}$ Sensitivity to Renormalization Scale

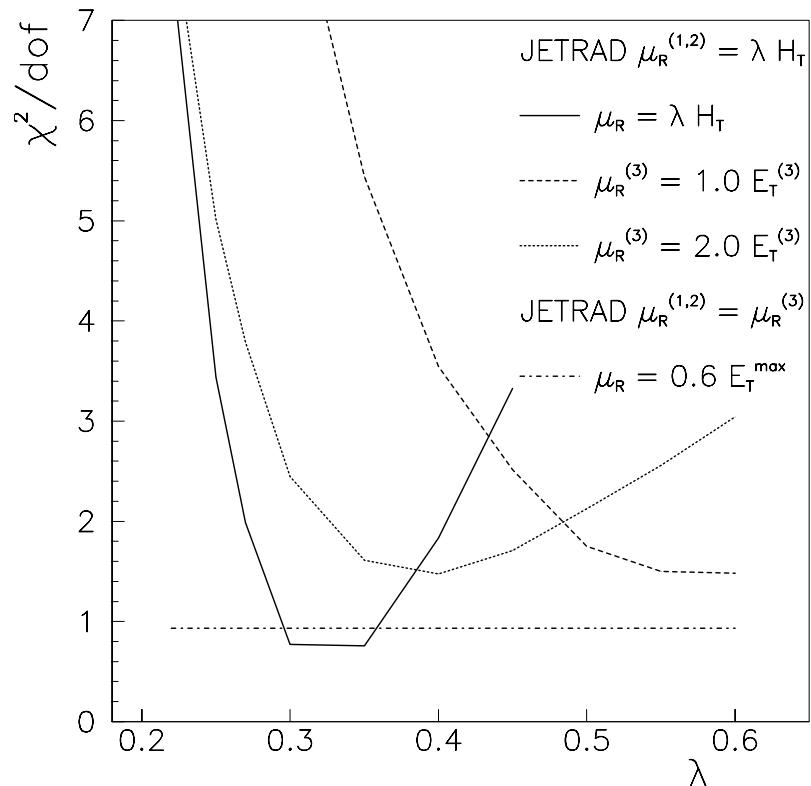
$E_T > 20 \text{ GeV}, |\eta| < 2$   
show greatest  
sensitivity to scale



# R<sub>32</sub> Results

- Jet emission best modeled using the same scale
  - i.e. the hard scale for all jets
- Best scale is that which minimizes  $\chi^2$  for all criteria
  - $\mu_R = 0.6 E_T^{\max}$ , for 20 GeV thresholds
  - $\mu_R = \lambda H_T$ ,  $\lambda \approx .3$  for all criteria
- Introduction of additional scales unnecessary.

E<sub>T</sub>>20 GeV,  $\eta < 2$



PRL 86, 1955 (2001)

# DØ Cross Section Ratio: $\sigma(630)/\sigma(1800)$ vs $x_T$

Ratio of the scale invariant cross sections :

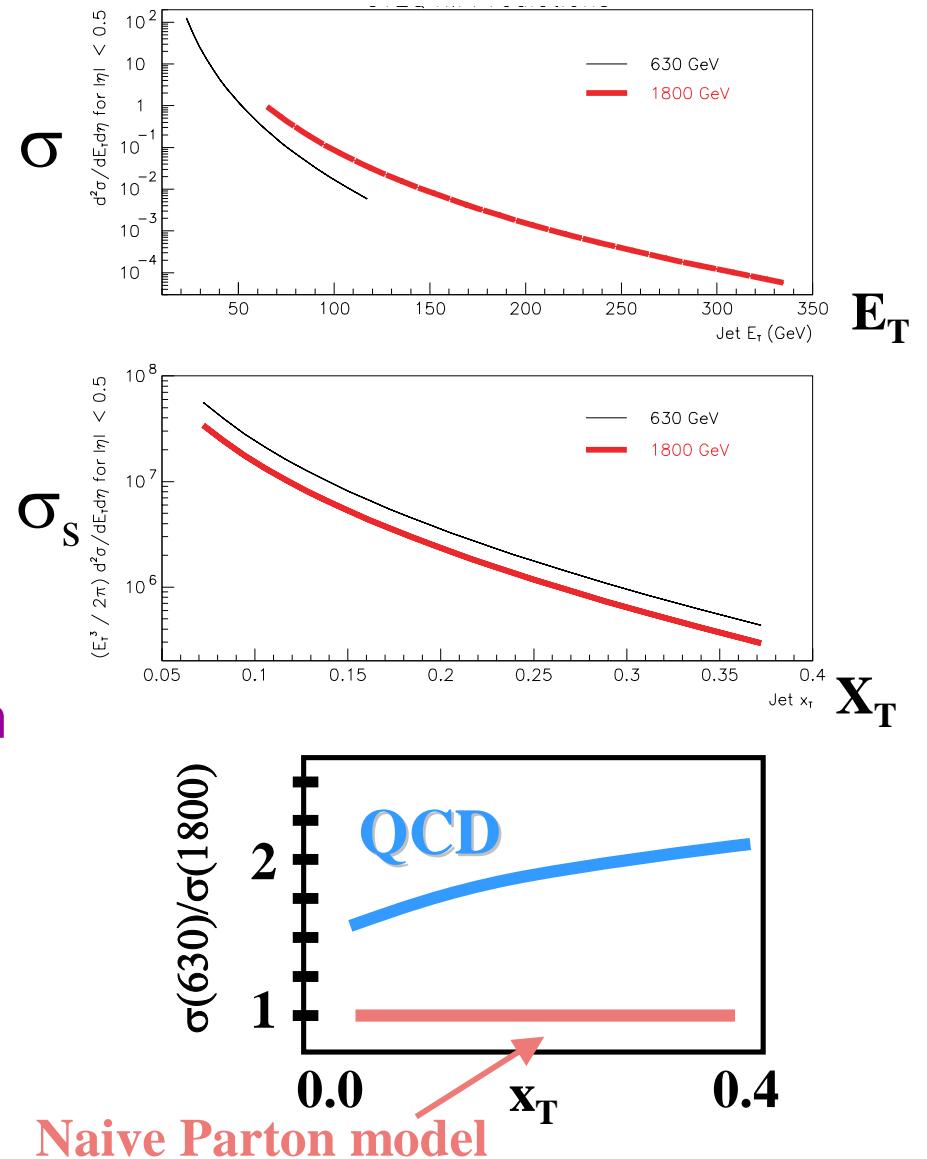
$$\sigma_s = (E_T^3/2\pi) (d^2\sigma/dE_T d\eta)$$

$$\text{vs } X_T = E_T / (\sqrt{s} / 2)$$

at different cm energies  
( 630 and 1800 GeV)

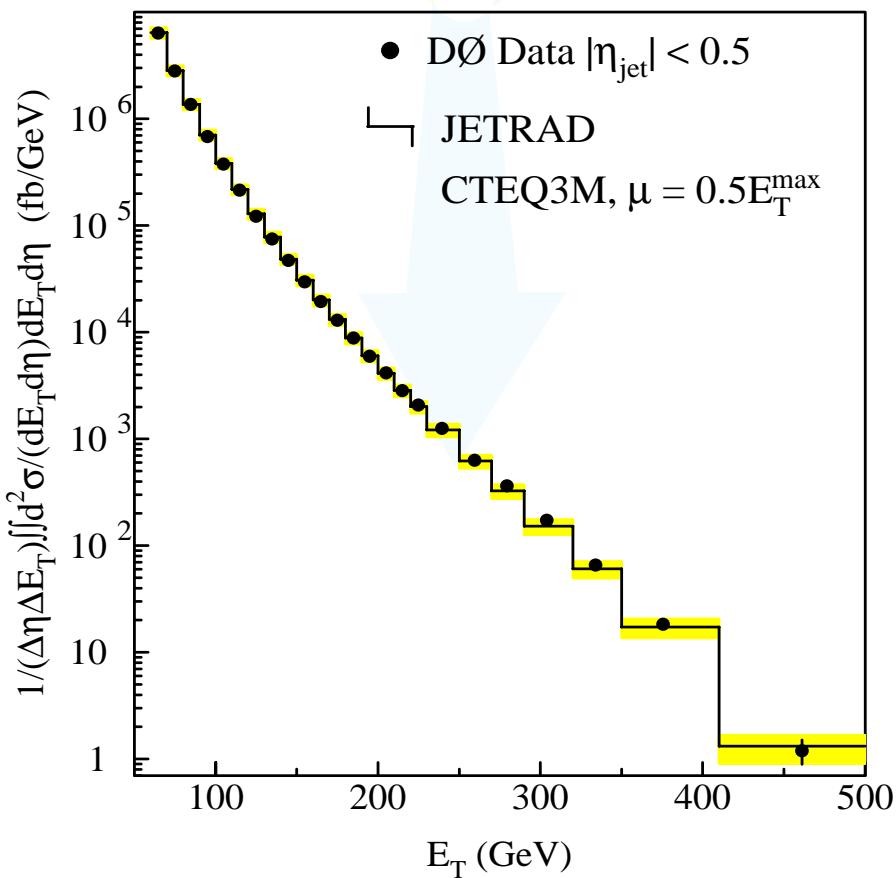
Ratio allows substantial reduction in uncertainties (in theory and experiment). May reveal:

- Scaling behavior
- Terms beyond LO ( $\alpha_s^2$ )

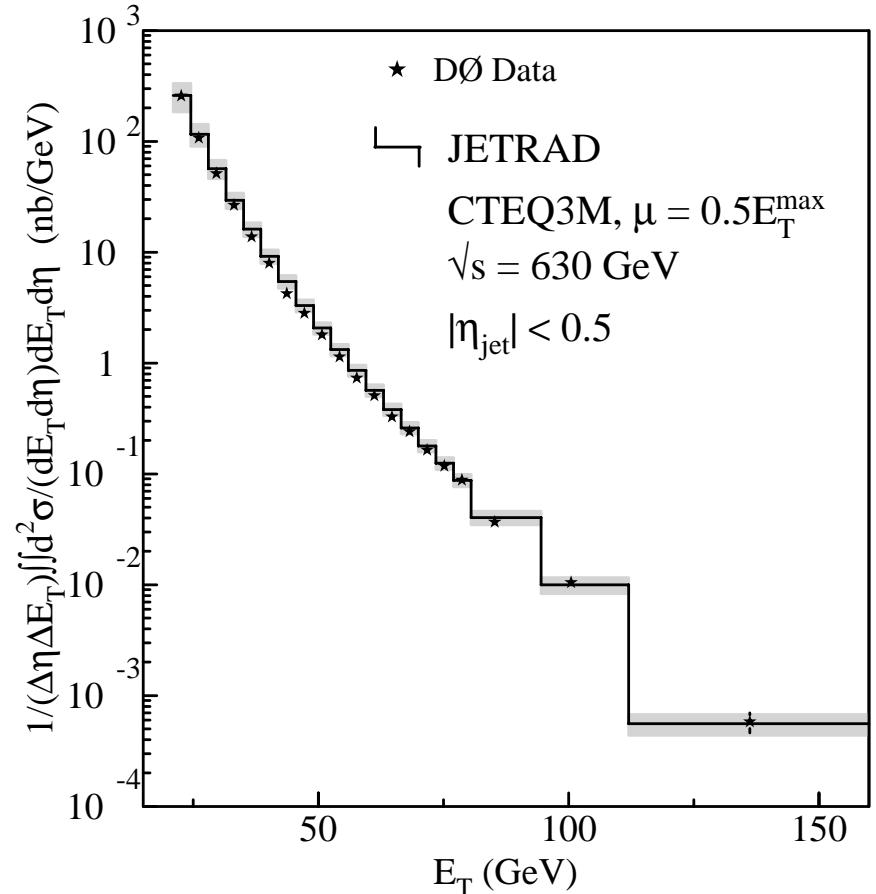


# DØ Inclusive Cross Section

$\sqrt{s} = 1800 \text{ GeV}$



$\sqrt{s} = 630 \text{ GeV}$

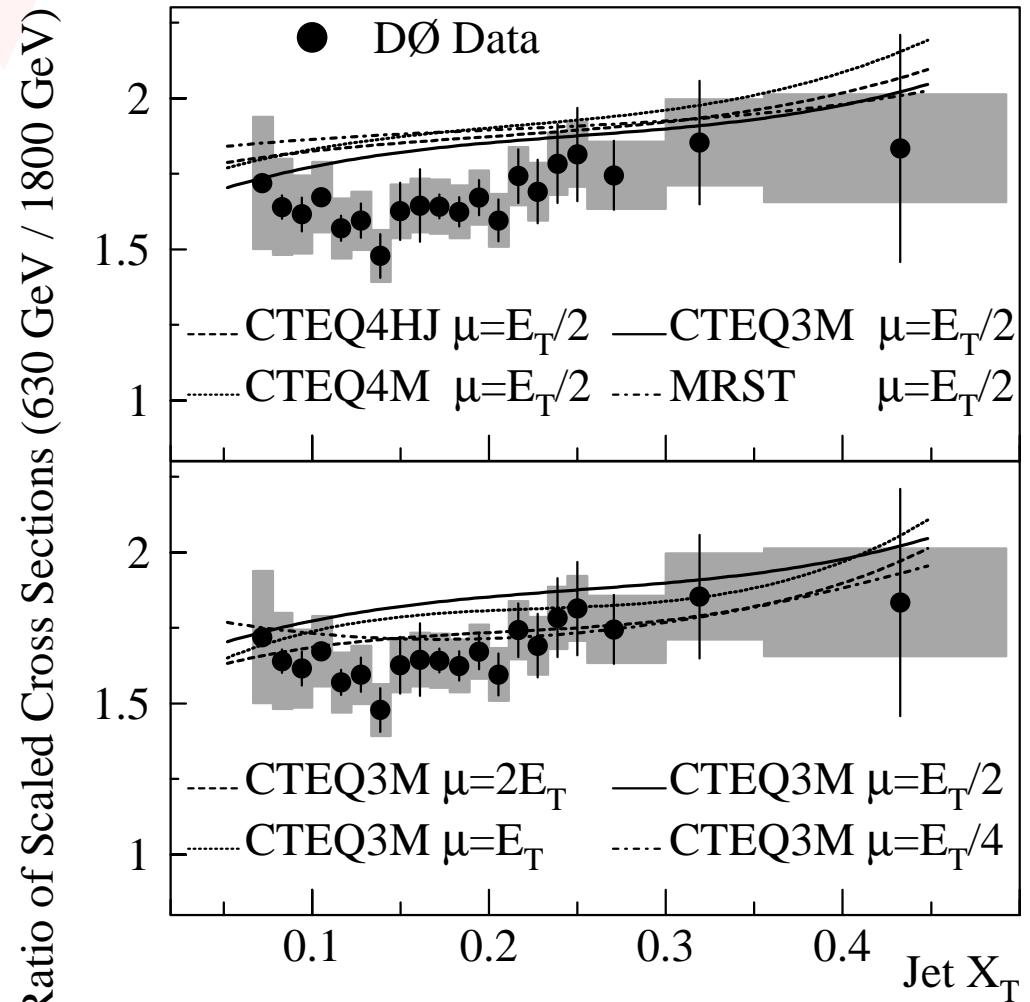


# Cross Section Ratio

$\sigma(630)/\sigma(1800)$  is 10-15% below NLO QCD predictions

- Top plot: varying choice of pdf has little effect
- Bottom plot: varying  $\mu_R$  scale is more significant
- Better agreement where  $\mu_R$  different at 630 and 1800 (unattractive alternative !)

Higher order terms will provide more predictive power!



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# CDF DiJet

Provides precise information about initial state partons

Cone of  $R=0.7$

Both Jets:  $E_T > 10$  GeV

Jet 1:  $0.1 < |\eta| < 0.7$

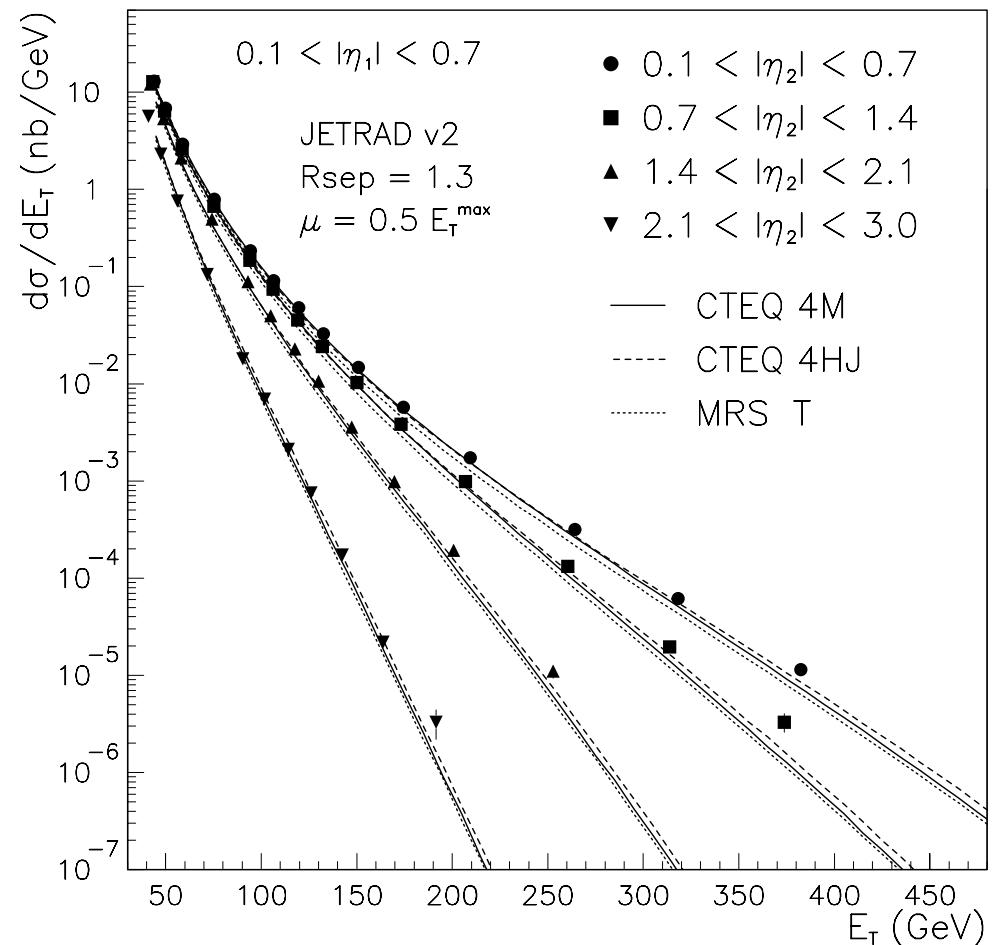
Jet 2: Four  $\eta$  regions

$0.1 < |\eta| < 0.7$

$0.7 < |\eta| < 1.4$

$1.4 < |\eta| < 2.1$

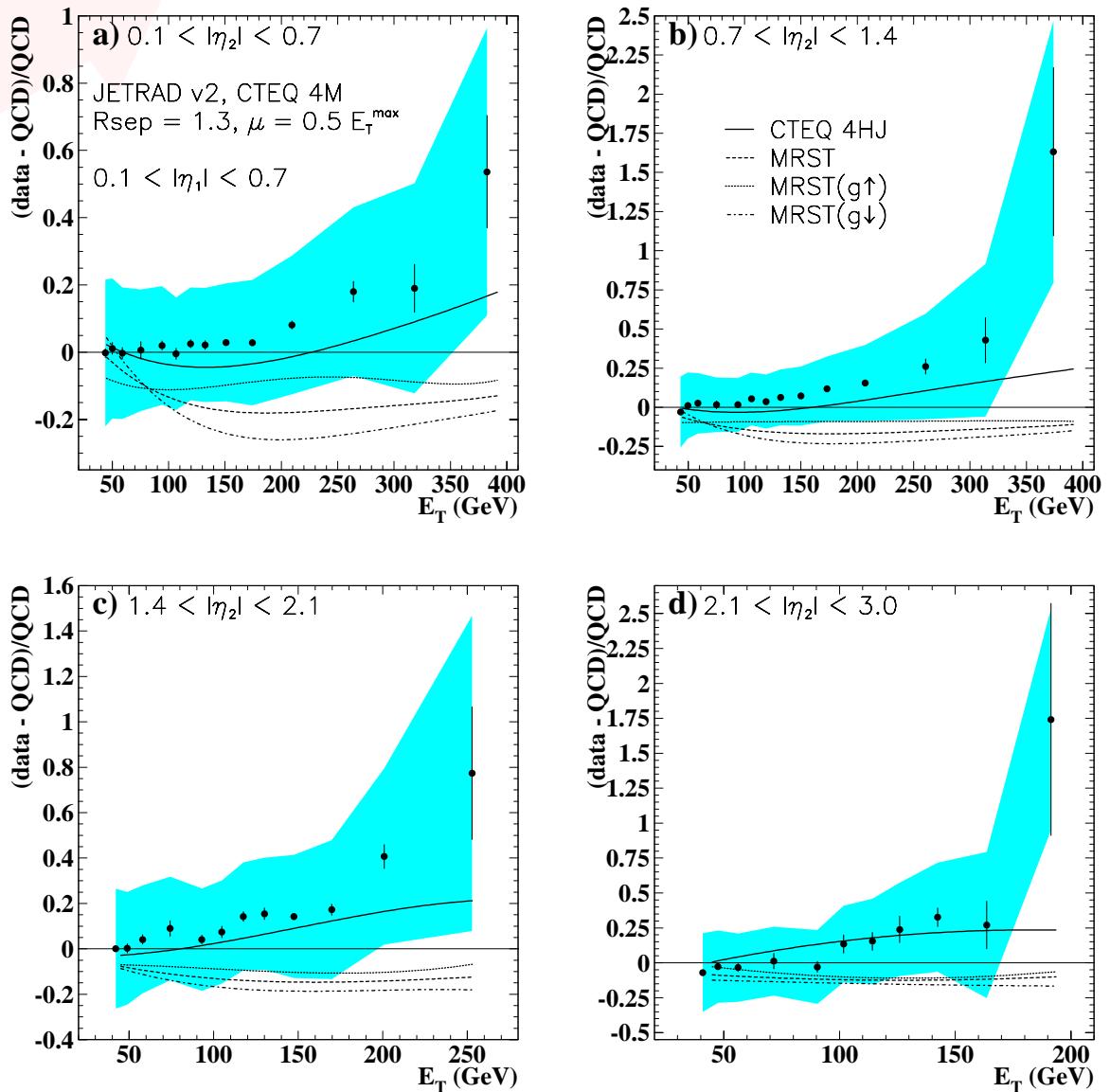
$2.1 < |\eta| < 3.0$



# CDF DiJet Cross Section

PDF	$\chi^2/\text{dof}$
MRST	2.68
MRST $\uparrow$	3.63
MRST $\downarrow$	4.49
CTEQ4M	2.88
CTEQ4HJ	2.43

All < 1% Probability



$k_T$  algorithm used

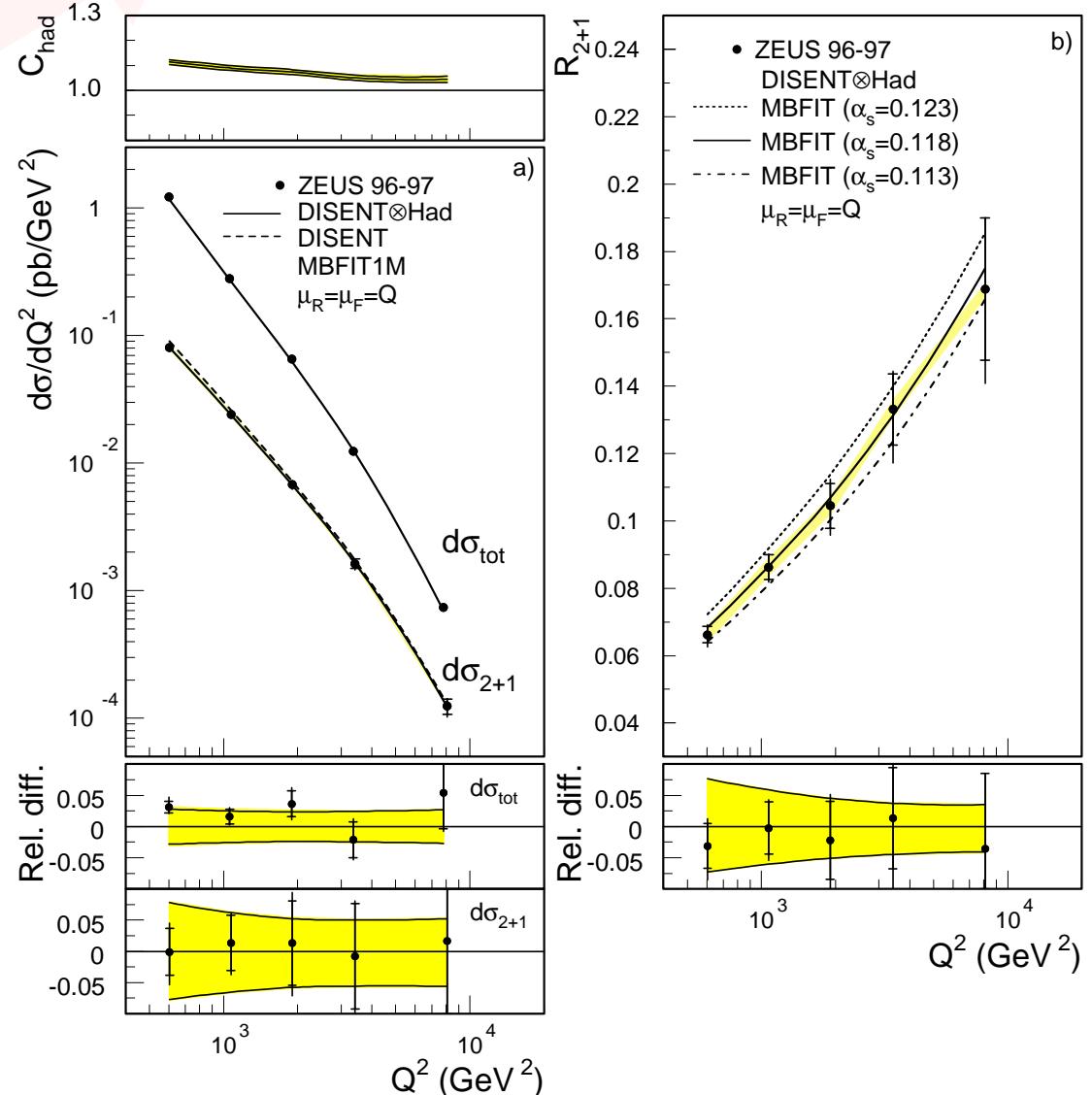
$$R_{2+1} = \frac{d\sigma_{2+1}/dQ^2}{d\sigma_{\text{tot}}/dQ^2}$$

- $E_T > 8 \text{ GeV (leading)}$
- $E_T > 5 \text{ GeV (other)}$
- $-1 < \eta < 2 \text{ (leading)}$
- $470 < Q^2 < 20000 \text{ GeV}^2$

Phys Lett B507, 70 (2001)

# ZEUS DiJet

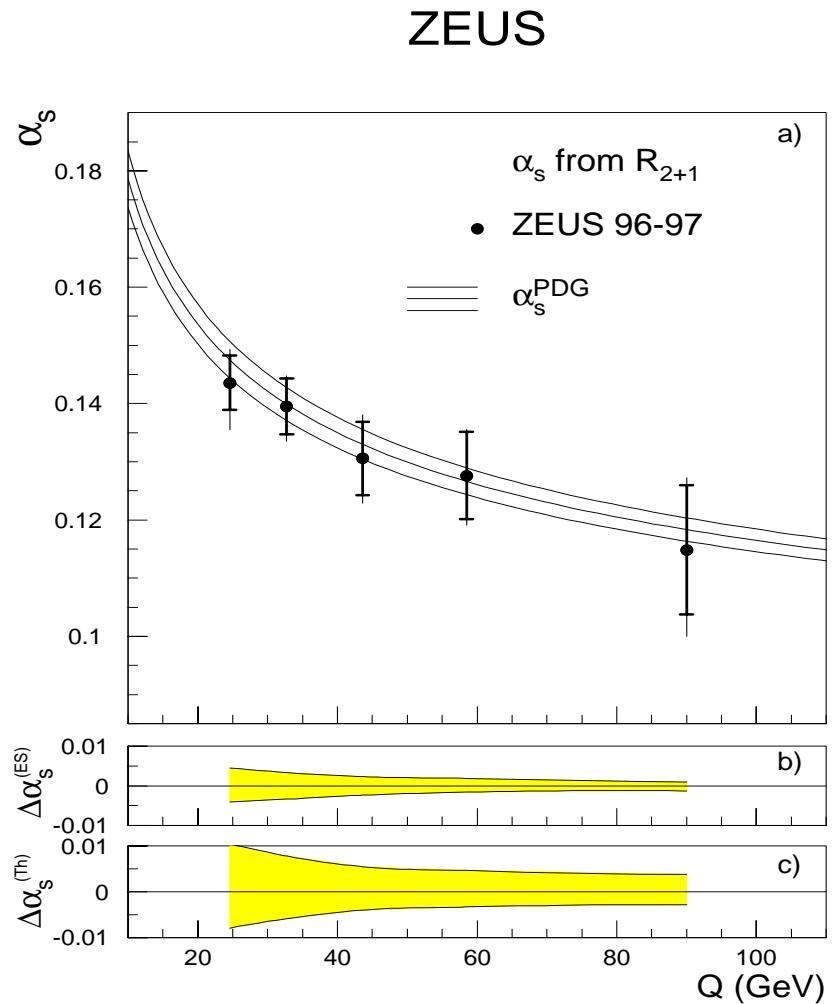
ZEUS



# ZEUS DiJet

$R_{2+1}$  parameterized as:

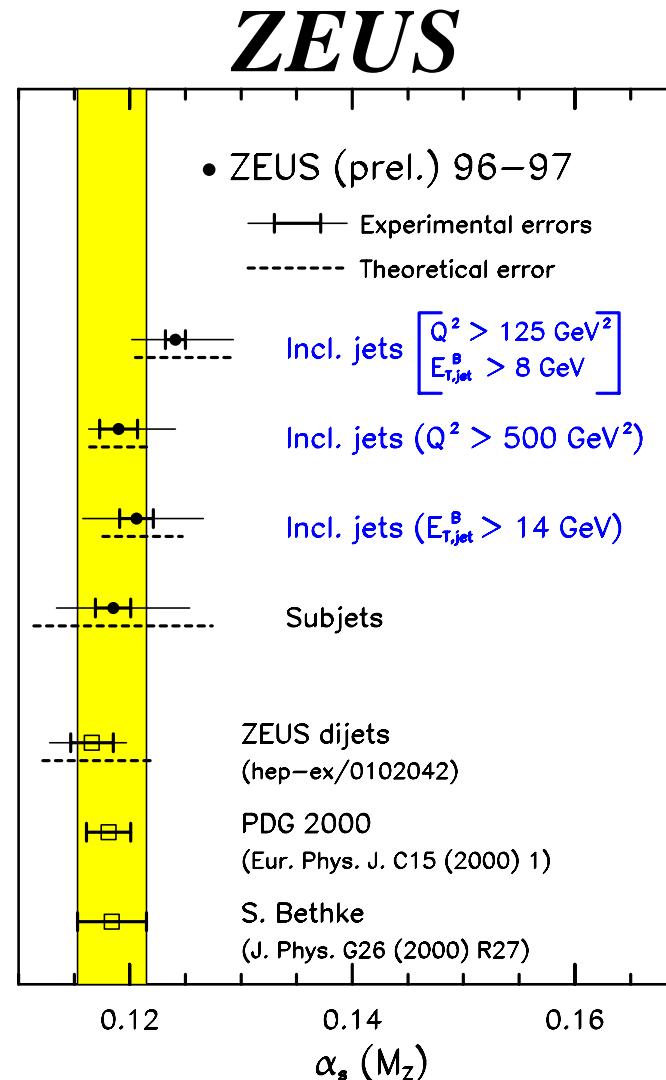
$$R_{2+1}(M_Z) = A_1 \alpha_s(M_Z) + A_2 \alpha_s^2(M_Z)$$



$$\alpha_s(M_Z) = 0.1166 \pm 0.0019 \text{ (stat)} \quad {}^{+0.0024}_{-0.0033} \text{ (exp)} \quad {}^{+0.0057}_{-0.0044} \text{ (th)}$$

# ZEUS $\alpha_s$ Summary

- Dijets has lowest total error of all Zeus measurements.
- All measurements consistent with PDG value of  $0.1185 \pm 20$

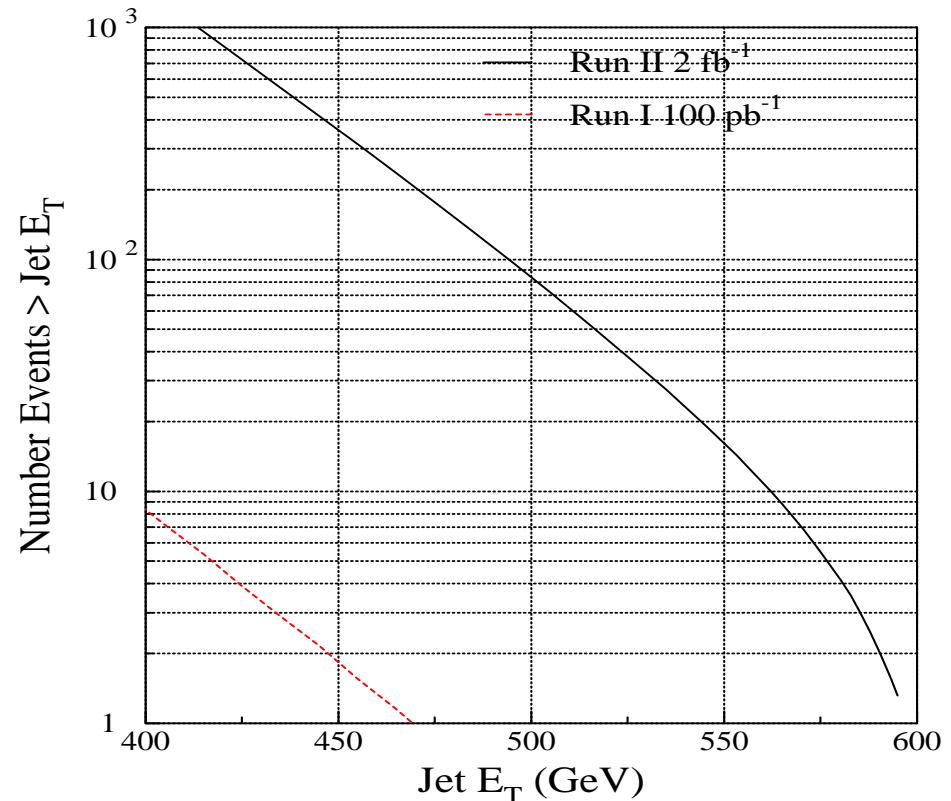


# Tevatron Run II

**Run II:**  $E_{cm} = 1.96 \text{ TeV}$ ,  $\int \mathcal{L} \rightarrow 2 \text{ fb}^{-1}$   
**expect:** ~100 events  $E_T > 490 \text{ GeV}$   
and ~1K events  $E_T > 400 \text{ GeV}$

**Run I:**  $E_{cm} = 1.8 \text{ TeV}$ ,  $\int \mathcal{L} \approx 0.1 \text{ fb}^{-1}$   
yielded 16 Events  $E_T > 410 \text{ GeV}$

Great reach at high  $x$  and  $Q^2$ ,  
A great place to look for new  
physics!



# Conclusions from Jet Physics

- Growing sophistication in jet physics analysis
  - ◆ Error matrices
  - ◆ New jet algorithms
  - ◆ Better corrections
  - ◆ PDF refinements
- Results generally agree with NLO QCD and PDF's
  - ◆ Cross section measurements will continue to refine PDF's
  - ◆  $\alpha_s$  measurements agree with PDG
  - ◆ Low  $E_T$  physics still require theoretical refinements
- Jet physics should continue to provide fruitful developments
  - ◆ High  $E_T$  region can reveal compositeness and other new physics
  - ◆ Low  $E_T$  region reveals soft parton distributions in proton
  - ◆ NNLO and other theoretical refinements needed
  - ◆ Results needed for "discovery" measurements