

**Problem 2: A two-state system (10 points)**

We can approximate the ammonia molecule  $NH_3$  by a simple two-state system. The three  $H$  nuclei are in a plane, and the  $N$  nucleus is at a fixed distance either above or below the plane of the  $H$ 's. Each is approximately a stationary state with some energy  $E_0$ . But there is a small amplitude for transition from up to down. Thus the total Hamiltonian is  $H = H_0 + H_1$ , where

$$H_0 = \begin{pmatrix} E_0 & 0 \\ 0 & E_0 \end{pmatrix} \quad \text{and} \quad H_1 = \begin{pmatrix} 0 & -A \\ -A & 0 \end{pmatrix}$$

with  $|A| \ll |E_0|$ .

- (a) Find the exact eigenvalues of  $H$ . (1 points)
- (b) Now suppose the molecule is in an electric field that distinguishes the two states. The new Hamiltonian is  $H = H_0 + H_1 + H_2$ , where

$$H_2 = \begin{pmatrix} \epsilon_1 & 0 \\ 0 & \epsilon_2 \end{pmatrix}$$

Find the new exact energy levels. (1 points)

- (c) Apply perturbation theory and find the energy levels to the lowest non-vanishing order for  $\epsilon_i \ll |A|$ . Compare the results to the exact answer in (b). (4 points)
- (d) Apply perturbation theory and find the energy levels to the lowest non-vanishing order for  $\epsilon_i \gg |A|$ . Compare the results to the exact answer in (b). (4 points)

Jan 2009

## Quantum #2

$$H_0 = \begin{bmatrix} E_0 & 0 \\ 0 & E_0 \end{bmatrix} \quad H_1 = \begin{bmatrix} 0 & -A \\ -A & 0 \end{bmatrix}$$

$$H = H_0 + H_1 = \begin{bmatrix} E_0 & -A \\ -A & E_0 \end{bmatrix}$$

a) Using the eigenvalue equation  $\det(H - \lambda I) = 0$

$$\begin{aligned} \begin{vmatrix} E_0 - \lambda & -A \\ -A & E_0 - \lambda \end{vmatrix} &= 0 = (E_0 - \lambda)^2 - (-A)^2 \\ &= E_0^2 - 2\lambda E_0 + \lambda^2 - A^2 \\ &= \lambda^2 - 2E_0\lambda + (E_0^2 - A^2) \end{aligned}$$

$$\begin{aligned} \hookrightarrow \lambda &= \frac{2E_0 \pm \sqrt{4E_0^2 - 4(1)(E_0^2 - A^2)}}{2} \\ &= \frac{2E_0 \pm \sqrt{4E_0^2 - 4E_0^2 + 4A^2}}{2} \\ &= E_0 \pm A \end{aligned}$$

$$b) H_2 = \begin{bmatrix} \epsilon_1 & 0 \\ 0 & \epsilon_2 \end{bmatrix}$$

$$H = H_0 + H_1 + H_2 = \begin{bmatrix} E_0 + \epsilon_1 & -A \\ -A & E_0 + \epsilon_2 \end{bmatrix}$$

Again, as above:

$$\begin{aligned} \begin{vmatrix} E_0 + \epsilon_1 - \lambda & -A \\ -A & E_0 + \epsilon_2 - \lambda \end{vmatrix} &= 0 = (E_0 + \epsilon_1 - \lambda)(E_0 + \epsilon_2 - \lambda) - (-A)^2 \\ &= E_0^2 + E_0\epsilon_2 - E_0\lambda + \epsilon_1 E_0 + \epsilon_1 \epsilon_2 - \lambda \epsilon_1 - \lambda E_0 - \epsilon_2 \lambda + \lambda^2 - A^2 \\ &= \lambda^2 - (2E_0 + \epsilon_1 + \epsilon_2)\lambda + (E_0^2 + E_0[\epsilon_1 + \epsilon_2] + \epsilon_1 \epsilon_2 - A^2) \end{aligned}$$

$$\begin{aligned} \hookrightarrow \lambda &= \frac{2E_0 + \epsilon_1 + \epsilon_2 \pm \sqrt{4E_0^2 - 4(1)(E_0^2 + E_0[\epsilon_1 + \epsilon_2] + \epsilon_1 \epsilon_2 - A^2)}}{2} \\ &= \frac{2E_0 + \epsilon_1 + \epsilon_2 \pm \sqrt{4A^2 - 4E_0(\epsilon_1 + \epsilon_2) - 4\epsilon_1 \epsilon_2}}{2} \end{aligned}$$

## #2 (cont.)

c) Assume  $H_2$  is a perturbation on  $H = H_0 + H_1$ . Therefore, use non-degenerate perturbation theory, and we must solve for eigenvectors of  $H = H_0 + H_1$

⇒ Using the eigenvector equation  $H\vec{a} = \lambda\vec{a}$

$$\begin{bmatrix} E_0 & -A \\ -A & E_0 \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = \lambda \begin{bmatrix} a_1 \\ a_2 \end{bmatrix}$$

$$\Rightarrow \begin{aligned} E_0 a_1 - A a_2 &= \lambda a_1 \\ -A a_1 + E_0 a_2 &= \lambda a_2 \end{aligned}$$

\* for  $\lambda = E_0 + A$

$$E_0 a_1 - A a_2 = E_0 a_1 + A a_1$$

$$-A a_2 = A a_1$$

$$-a_2 = a_1$$

$$\hookrightarrow \vec{a} = \begin{bmatrix} 1 \\ -1 \end{bmatrix} \cdot \frac{1}{\sqrt{2}}$$

\* for  $\lambda = E_0 - A$

$$E_0 a_1 - A a_2 = E_0 a_1 - A a_1$$

$$-A a_2 = -A a_1$$

$$a_2 = a_1$$

$$\vec{a} = \begin{bmatrix} 1 \\ 1 \end{bmatrix} \cdot \frac{1}{\sqrt{2}}$$

$$\Rightarrow |E_0 + A\rangle = \langle 1, -1 \rangle \cdot \frac{1}{\sqrt{2}}$$

$$|E_0 - A\rangle = \langle 1, 1 \rangle \cdot \frac{1}{\sqrt{2}}$$

\* Dot product verifies orthogonality

$$\frac{1}{2}[(1 \cdot 1) + (1 \cdot -1)] = 0$$

In general  $\Delta E_n^{(1)} = \langle n^{(0)} | V | n^{(0)} \rangle$

$$\hookrightarrow \Delta E_1^{(1)} = \langle E+A | H_2 | E+A \rangle$$

$$= \frac{1}{2} \begin{bmatrix} 1 & -1 \end{bmatrix} \begin{bmatrix} E_1 & 0 \\ 0 & E_2 \end{bmatrix} \begin{bmatrix} 1 \\ -1 \end{bmatrix}$$

$$= \frac{1}{2} \begin{bmatrix} 1 & -1 \end{bmatrix} \begin{bmatrix} E_1 \\ -E_2 \end{bmatrix}$$

$$= \frac{1}{2} (E_1 + E_2)$$

$$\hookrightarrow \Delta E_2^{(1)} = \langle E-A | H_2 | E-A \rangle$$

$$= \frac{1}{2} \begin{bmatrix} 1 & 1 \end{bmatrix} \begin{bmatrix} E_1 & 0 \\ 0 & E_2 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \end{bmatrix}$$

$$= \frac{1}{2} (E_1 + E_2)$$

\* If  $E_i \ll A$ , our exact energies are

$$E \approx \frac{2E_0 + E_1 + E_2 \pm \sqrt{4A^2 - 4E_0(E_1 + E_2)}}{2}$$

$$= E_0 \pm A + E_1 + E_2$$

which matches what we get from perturbation theory

## #2 (cont.)

a) If  $E_0 \gg |A|$ , then  $H = H_0 + H_2$  and  $H_1$  is our perturbation

$$\hookrightarrow \lambda = E_0 + E_1$$

$$\vec{a} = \langle 1, 0 \rangle \text{ and } \langle 0, 1 \rangle$$

$$\Rightarrow |E_0 + E_1\rangle = \langle 1, 0 \rangle$$

$$|E_0 + E_2\rangle = \langle 0, 1 \rangle$$

\* Dot product verifies orthogonality

Again as in part c

$$\Delta E_n^{(1)} = \langle n^{(0)} | V | n^{(0)} \rangle$$

$$\Delta E_1^{(1)} = \langle E_0 + E_1 | H_1 | E_0 + E_1 \rangle$$

$$= \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & -A \\ -A & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \cdot \frac{1}{2}$$

$$= \frac{1}{2} \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} 0 \\ -A \end{bmatrix}$$

$$= 0$$

$$\Delta E_2^{(1)} = \langle E_0 + E_1 | H_1 | E_0 + E_1 \rangle$$

$$= \begin{bmatrix} 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & -A \\ -A & 0 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \cdot \frac{1}{2}$$

$$= \frac{1}{2} \begin{bmatrix} 0 & 1 \end{bmatrix} \begin{bmatrix} -A \\ 0 \end{bmatrix}$$

$$= 0$$

\* We must proceed to  $\Delta E_n^{(2)}$  which requires  $|n^{(1)}\rangle$

$$|n^{(1)}\rangle = \sum_{k \neq n} \frac{V_{kn}}{E_n^{(0)} - E_k^{(0)}} |k^{(0)}\rangle$$

\* remember that  $n$  and  $k$  refer to Energy eigen values

$$\hookrightarrow |(E_0 + E_1)^{(1)}\rangle = \frac{\langle E_0 + E_2 | H_1 | E_0 + E_1 \rangle}{(E_0 + E_1) - (E_0 + E_2)} |E_0 + E_2\rangle$$

$$= \frac{-A}{E_1 - E_2} |E_0 + E_2\rangle$$

$$\hookrightarrow |(E_0 + E_2)^{(1)}\rangle = \frac{\langle E_0 + E_1 | H_1 | E_0 + E_2 \rangle}{(E_0 + E_2) - (E_0 + E_1)} |E_0 + E_1\rangle$$

$$= \frac{-A}{E_2 - E_1} |E_0 + E_1\rangle$$

\* In general, our second order correction formula is:  $\Delta E_n^{(2)} = \langle n^{(0)} | V | n^{(1)} \rangle$

$$= \sum_{k \neq n} \frac{|V_{kn}|^2}{E_n^{(0)} - E_k^{(0)}}$$

$$\Rightarrow \Delta E_1^{(2)} = \frac{A^2}{E_1 - E_2}$$

$$\Delta E_2^{(2)} = \frac{A^2}{E_2 - E_1}$$

#2 (cont.)

d) This gives us energies of:  $E_0 + E_1 + \frac{A^2}{E_1 - E_2} \approx E_1$   
 $E_0 + E_2 + \frac{A^2}{E_2 - E_1} \approx E_2$

\* Returning to our exact solution

$$E_{\pm} = \frac{2E_0 + E_1 + E_2 \pm \sqrt{4A^2 - 4E_0(E_1 + E_2) - 4E_1E_2}}{2}$$

$$= E_0 + \frac{E_1 + E_2}{2} \pm \sqrt{E_0(E_1 + E_2) - E_1E_2}$$

$$= E_0 + \frac{E_1 + E_2}{2} \pm E_0(E_1 + E_2) \sqrt{1 + \frac{E_1E_2}{E_0(E_1 + E_2)}}$$

$$= E_0 + \frac{E_1 + E_2}{2} \pm E_0(E_1 + E_2) \left[ 1 + \frac{1}{2} \left( \frac{E_1E_2}{E_0(E_1 + E_2)} \right) - \frac{1}{8} \left( \frac{E_1E_2}{E_0(E_1 + E_2)} \right)^2 + \dots \right]$$

ignore

$$= E_0 + \frac{E_1 + E_2}{2} \pm \left[ E_0(E_1 + E_2) + \frac{1}{2} E_1E_2 \right]$$

↳