



# EFFECT OF OCCUPATION OF THE EXCITED STATES AND PHONON BROADENING IN THE DETERMINATION OF THE HOT CARRIER TEMPERATURE

H. Esmailpour<sup>1</sup>, V. R. Whiteside<sup>1</sup>, L. C. Hirst<sup>2</sup>, D. V. Forbes<sup>2</sup>, R. J. Walters<sup>2</sup>, and I. R. Sellers<sup>1</sup>

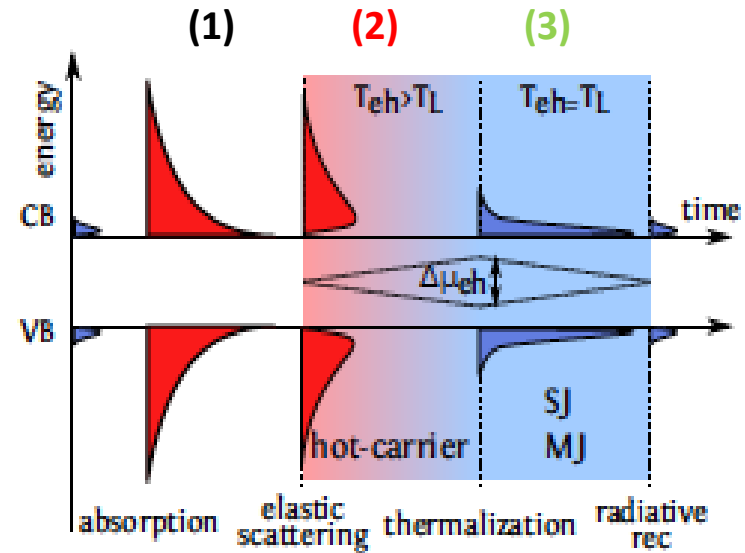
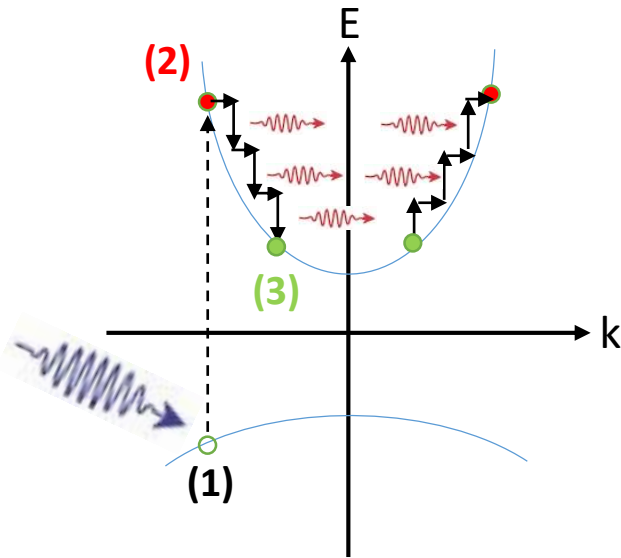
<sup>1</sup> *Homer L. Dodge Department of Physics & Astronomy, Norman, OK 73019, USA*

<sup>2</sup> *U. S. Naval Research Laboratory, Washington D. C. 20375, USA*



- Introduction: Hot Carrier solar cells
- Generalized Planck's radiation law
- Determination of carrier temperatures and chemical potentials
- Effect of occupation of excited state on carrier temperature determination
- Temperature dependent photoluminescence linewidth broadening

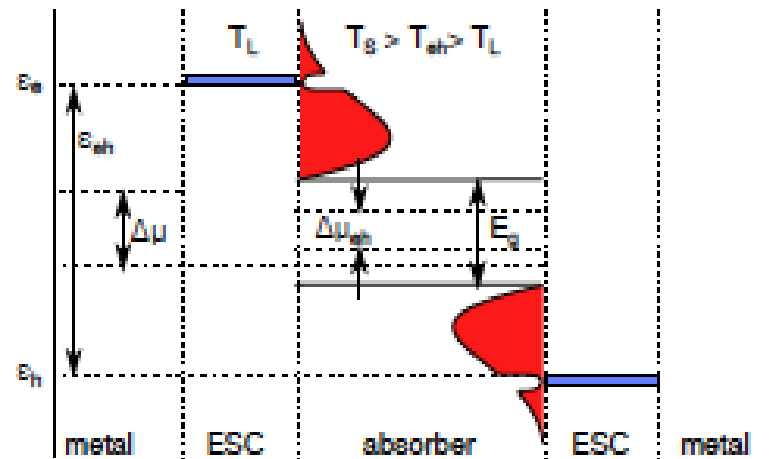
# Introduction: Hot Carrier Solar cell



Green, Third generation photovoltaics, p.70, Springer (2006)

Energy selective contacts offer a potential route to efficient hot carrier extraction

- Increase carrier lifetime
- extract carriers before relaxation
- Phonon bottleneck



Wurfel, Sol. Energy. Mat. Sol. C., 46, p.43 (1997)



# Determination of carrier temperature

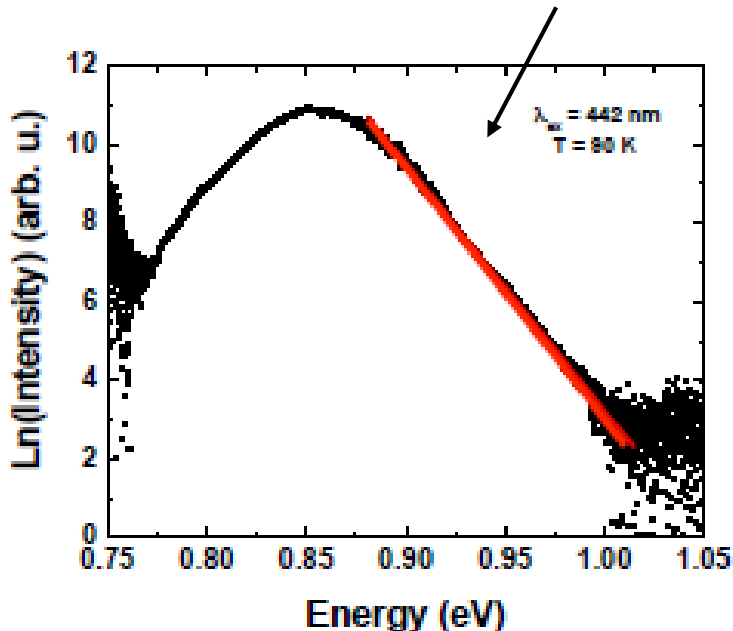
## 1. Linear fit

$$I_{PL}(h\omega) = \frac{A(h\omega) (h\omega)^2}{4\pi^2 h^3 c^2} \left[ \exp\left(\frac{h\omega - \Delta\mu}{k_B T}\right) - 1 \right]^{-1}$$

Generalized Planck's law

- Lasher & Stern, *Phys. Rev.* **133**, A553 (1964)
- De Vos & Pauwels, *Appl. Phys.* **25**, 119 (1981)
- P Wurfel, *J. Phys. C: Solid State Phys.* **15** 3967 (1982)

$$\ln(I_{pl}(h\nu)) \propto \left( -\frac{h\nu}{k_B T_{eh}} \right)$$



- ✓ Simple and useful technique
- ✓ Applicable on PL spectra affected by non-idealities in the sample structure
- X Does not include occupation of excited states
- X Does not exclude PL linewidth broadening



# Determination of carrier temperature

## 2. Generalized Planck's radiation law



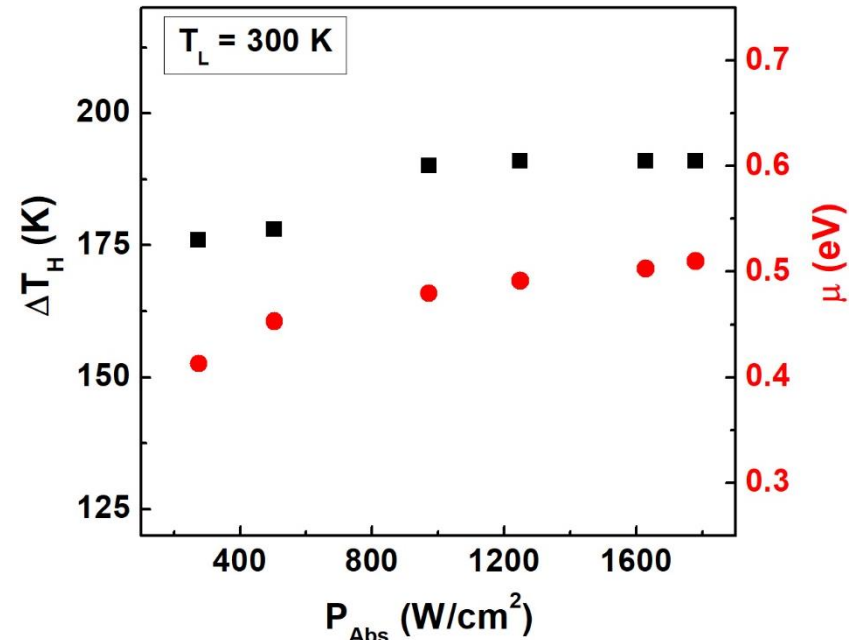
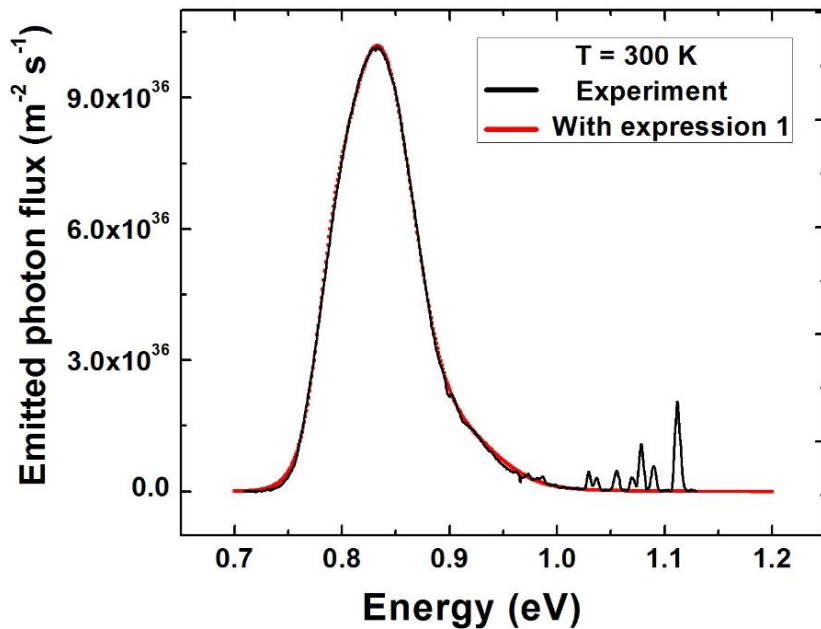
$$I_{PL}(h\omega) = \frac{A(h\omega) (h\omega)^2}{4\pi^2 h^3 c^2} \left[ \exp\left(\frac{h\omega - \Delta\mu}{k_B T}\right) - 1 \right]^{-1}$$

Generalized Planck's law

$$A(h\omega) = 1 - \exp\left(-A_{lh} \exp\left(-\frac{(h\omega - E_{lh})^2}{\Gamma_{lh}^2}\right) + A_{hh} \exp\left(-\frac{(h\omega - E_{hh})^2}{\Gamma_{hh}^2}\right) + A_c \frac{1}{1 + \exp\left(-\frac{(h\omega - E_C)}{\Gamma_C}\right)} \frac{2}{1 + \exp\left(-2\pi \sqrt{\frac{E_C - E_{lh}}{|h\omega - E_C|}}\right)}\right) d(f_V^e - f_C^e)$$

Absorptivity of the material

- Gibelli, F. et al., *Physica B: Condensed Matter* **498** (2016): 7-14.





# Determination of carrier temperature

## 2. Generalized Planck's radiation law

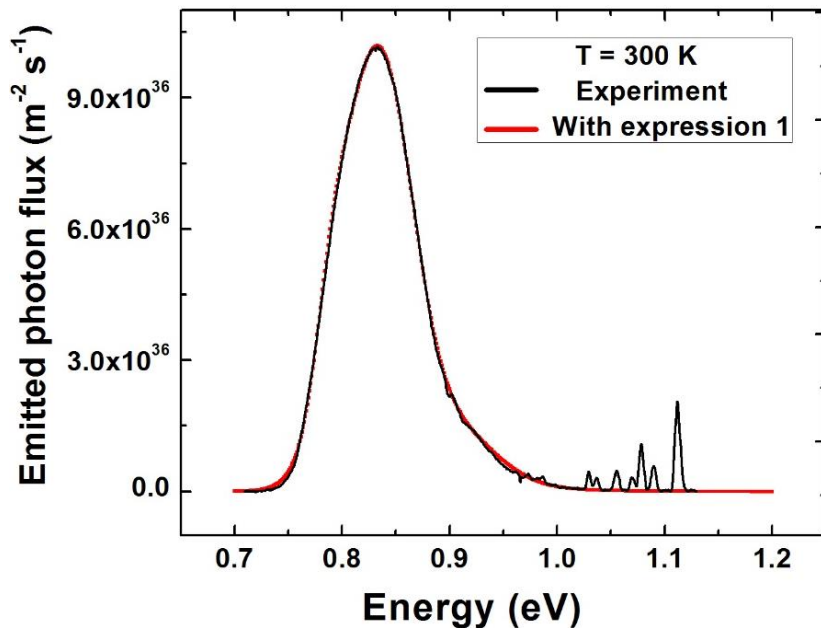
$$I_{PL}(h\omega) = \frac{A(h\omega) (h\omega)^2}{4\pi^2 h^3 c^2} \left[ \exp\left(\frac{h\omega - \Delta\mu}{k_B T}\right) - 1 \right]^{-1}$$

Generalized Planck's law

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Absorptivity of the material

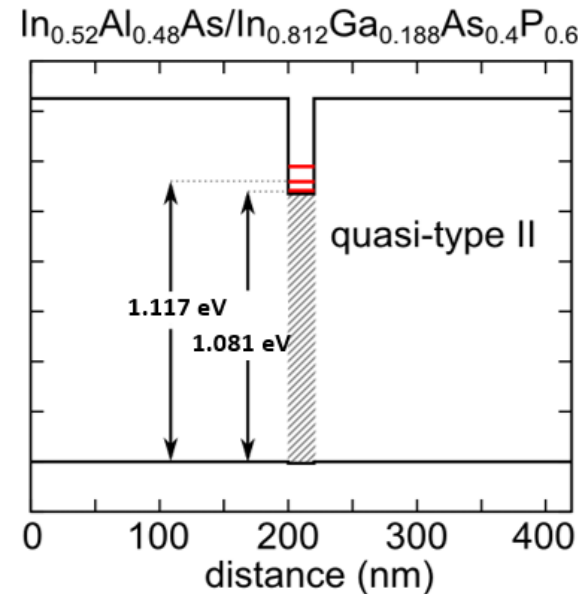
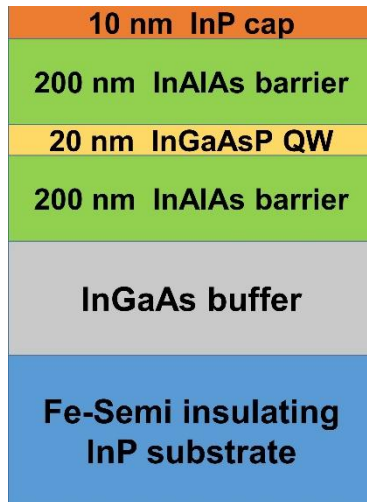
- Gibelli, F. et al., *Physica B: Condensed Matter* **498** (2016): 7-14.



- ✓ Extraction of carrier temperature and chemical potentials
- ✓ **PL linewidth broadening is included**
- X *Not applicable* on PL spectra affected by non-idealities in the sample structure
- X Several fitting parameters



# Quasi-type-II InGaAsP quantum well structure

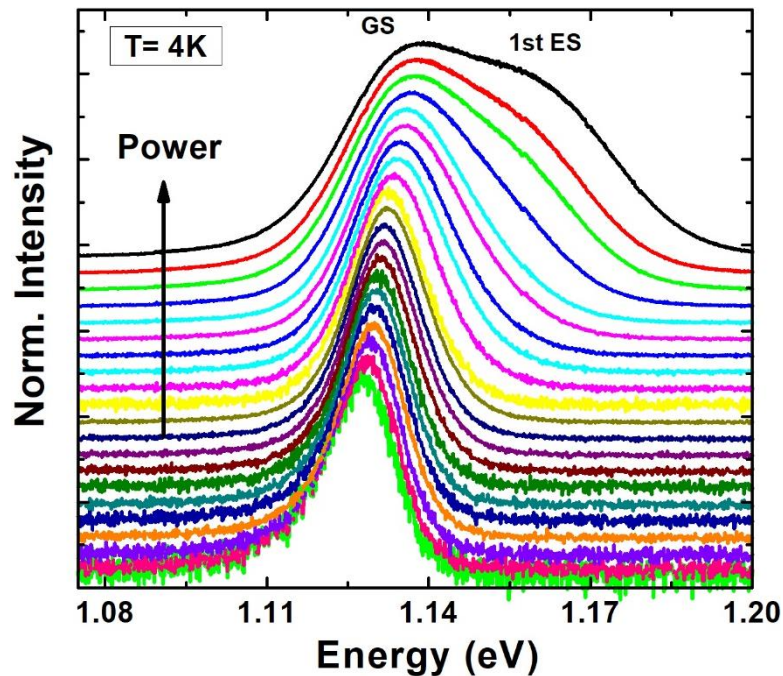


- ❖ Close energy levels in the conduction band:  
Possibility of occupation of excited states
- ❖ Quasi-type-II structure



# Extraction of carrier temperature

- Occupation of excited states

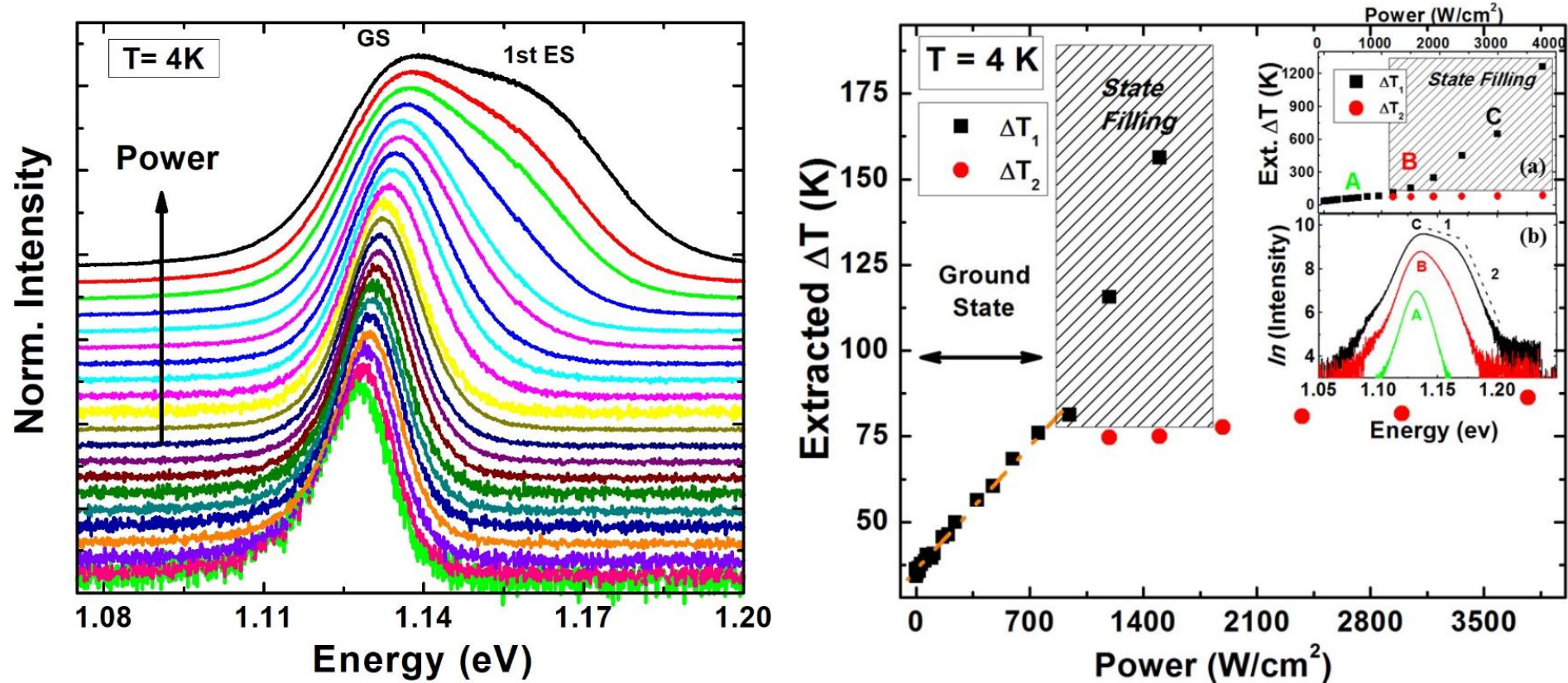


- ❖ By increasing excitation power, a shoulder in the high energy side becomes clear indicative of occupation of 1<sup>st</sup> excited state.

H. Esmailpour, V. R. Whiteside, I. R. Sellers et al., *Progress in PV Res. Appl.* **25**, 782 (2017)

# Extraction of carrier temperature

- Occupation of excited states



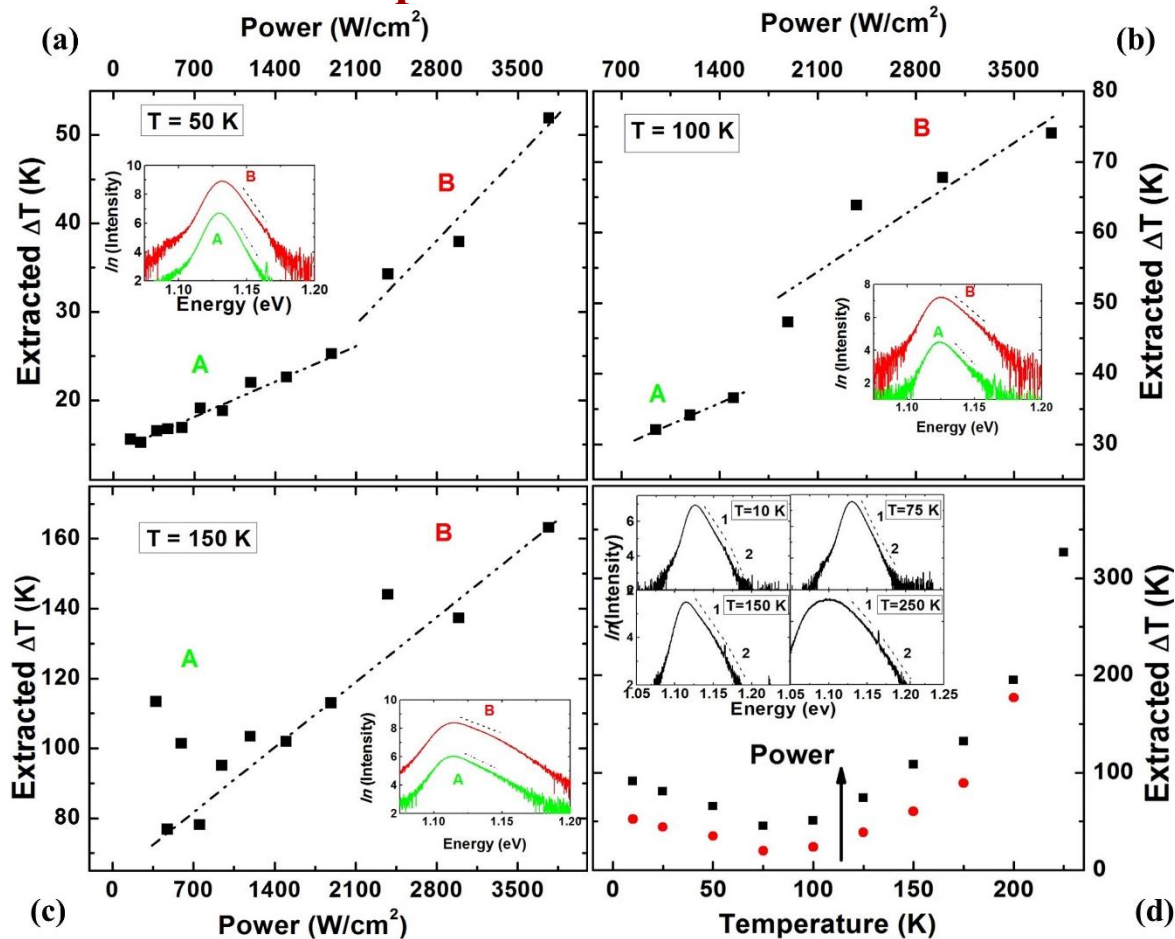
- ❖ There is an increase in the extracted temperature as a function of power
- ❖ Above a certain excitation power (threshold power), there are two extracted temperatures associated with two slopes, as shown in the inset (b)

H. Esmailpour, V. R. Whiteside, I. R. Sellers et al., *Progress in PV Res. Appl.* **25**, 782 (2017)



# Extraction of carrier temperature

- Occupation of excited states



- ❖ By increasing excitation power, the threshold power shifts to lower values
- ❖ At elevated temperature ( $>150 \text{ K}$ ), electrons occupy 1<sup>st</sup> excited state even at very low power

H. Esmailpour, V. R. Whiteside, I. R. Sellers et al., *Progress in PV Res. Appl.* **25**, 782 (2017)

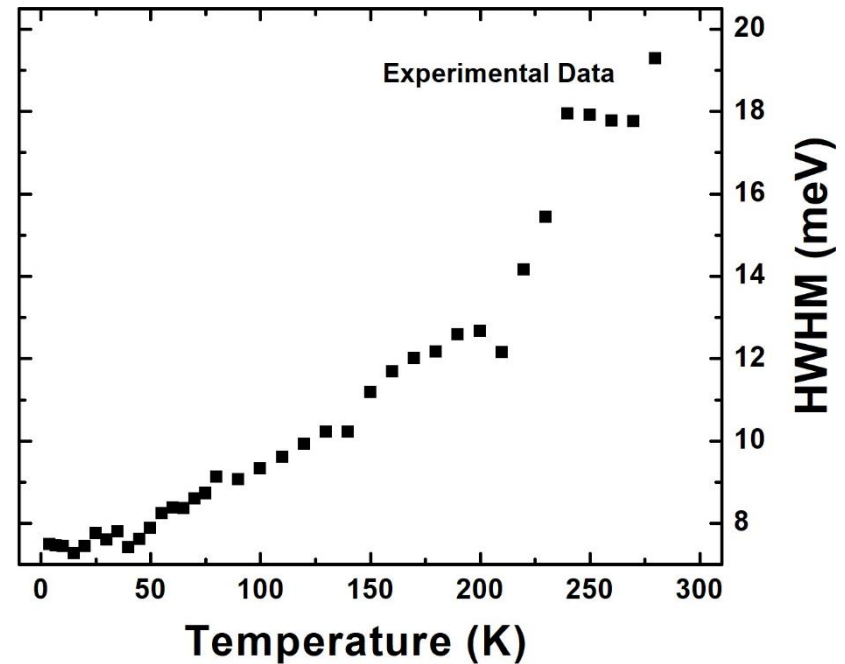
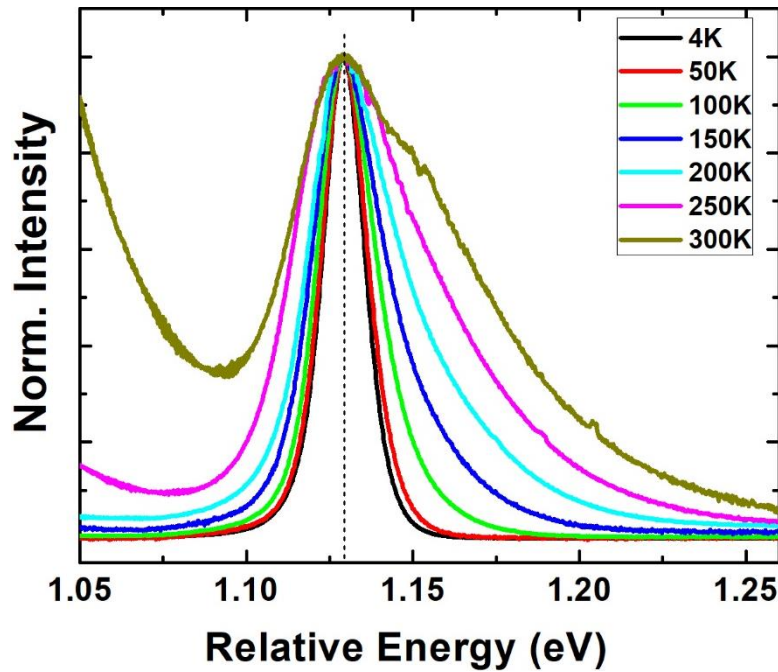


# Photoluminescence linewidth broadening

$$\Gamma_{tot}(T) = \Gamma_0 + \Gamma_{LA} T + \frac{\Gamma_{LO}}{\left[\exp\left(\frac{\hbar\omega_{LO}}{k_B T}\right) - 1\right]} + \Gamma_{Imp.} \exp\left(-\frac{E_b}{k_B T}\right)$$

Temperature dependent  
PL linewidth broadening

$E_b$  : Ionized impurity binding energy  
 $\hbar\omega_{LO}$ : Longitudinal optical (LO) phonon energy



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H. Esmailpour, V. R. Whiteside, I. R. Sellers et al., *Journal of Applied Physics* **121**, 235301 (2017)



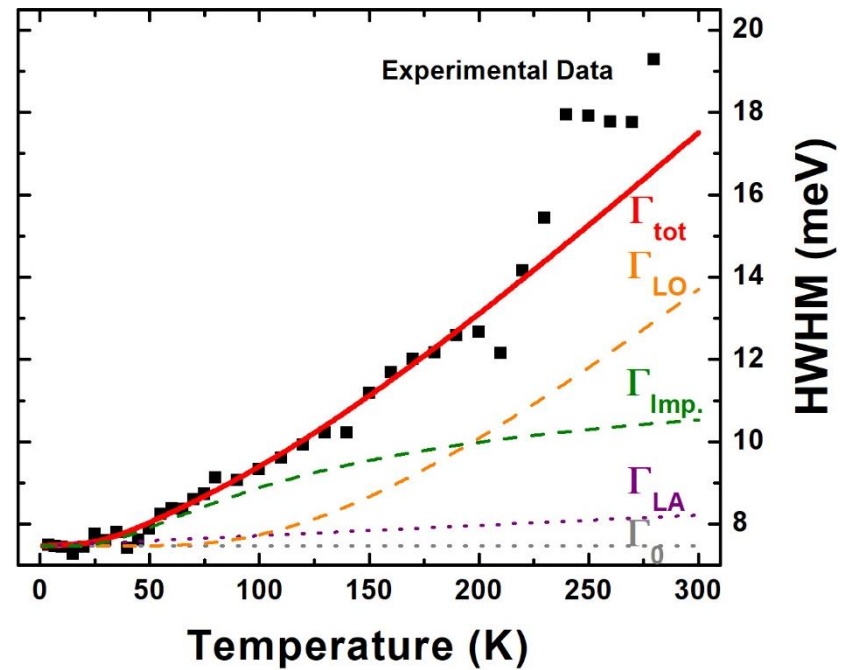
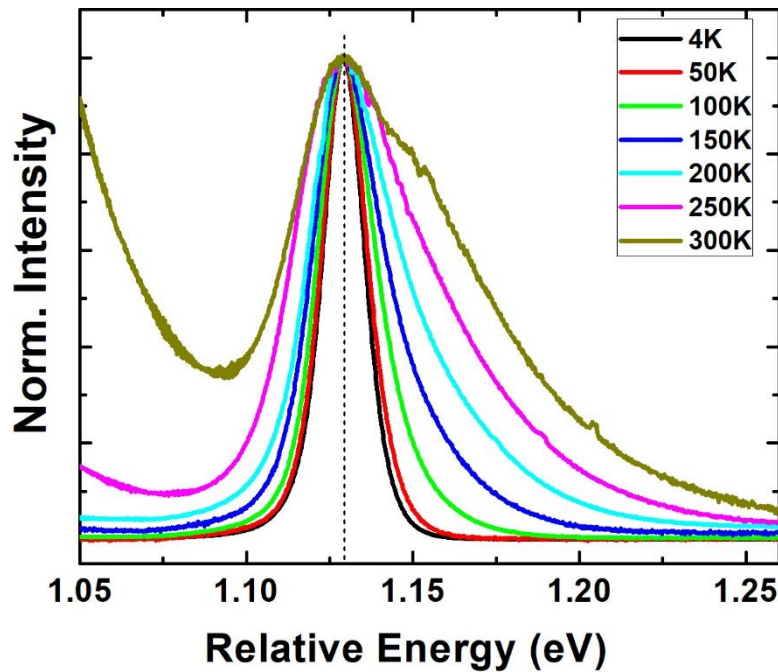
# Photoluminescence linewidth broadening

$$\Gamma_{tot}(T) = \Gamma_0 + \Gamma_{LA} T + \frac{\Gamma_{LO}}{\left[\exp\left(\frac{h\omega_{LO}}{k_B T}\right) - 1\right]} + \Gamma_{Imp.} \exp\left(-\frac{E_b}{k_B T}\right)$$

Temperature dependent  
PL linewidth broadening

$E_b$  : Ionized impurity binding energy

$h\omega_{LO}$ : Longitudinal optical (LO) phonon energy



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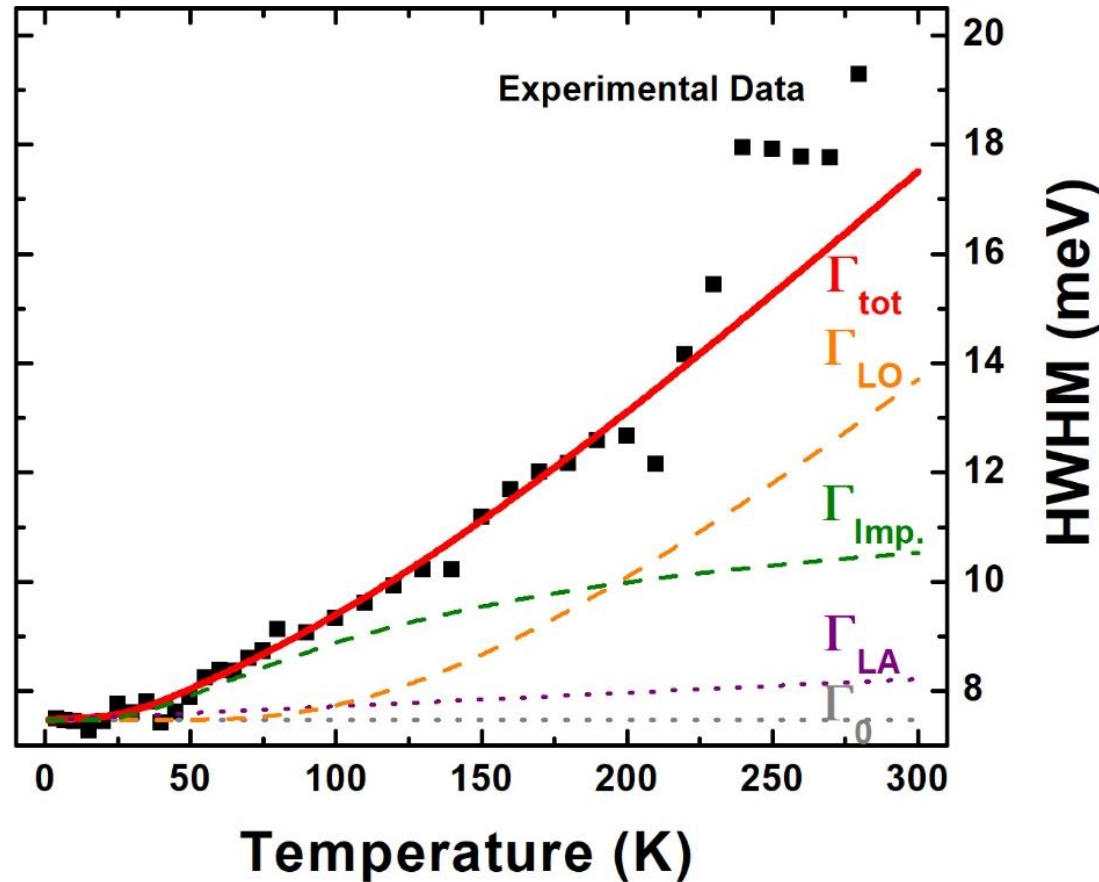
# Photoluminescence linewidth broadening

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Temperature dependent PL linewidth broadening

$E_b$  : Ionized impurity binding energy  
 $\hbar\omega_{LO}$ : Longitudinal optical (LO) phonon energy

- ❖ Linewidth broadening due to LO phonon scattering is dominant above 100 K
- Half-width-at-half-maximum (HWHM) diverges above 200 K due to the effect of occupation of excited states

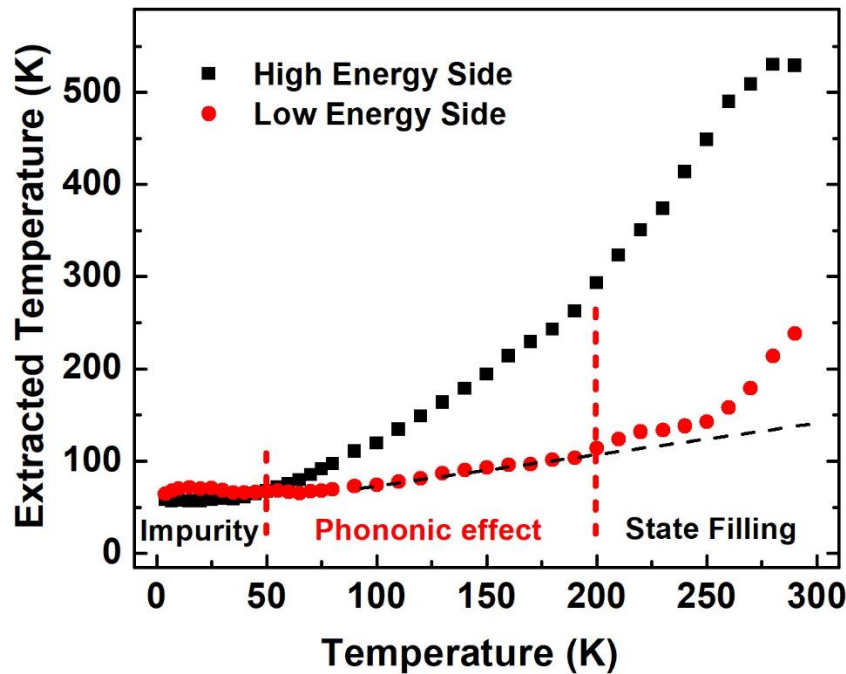


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# Determination of carrier temperature

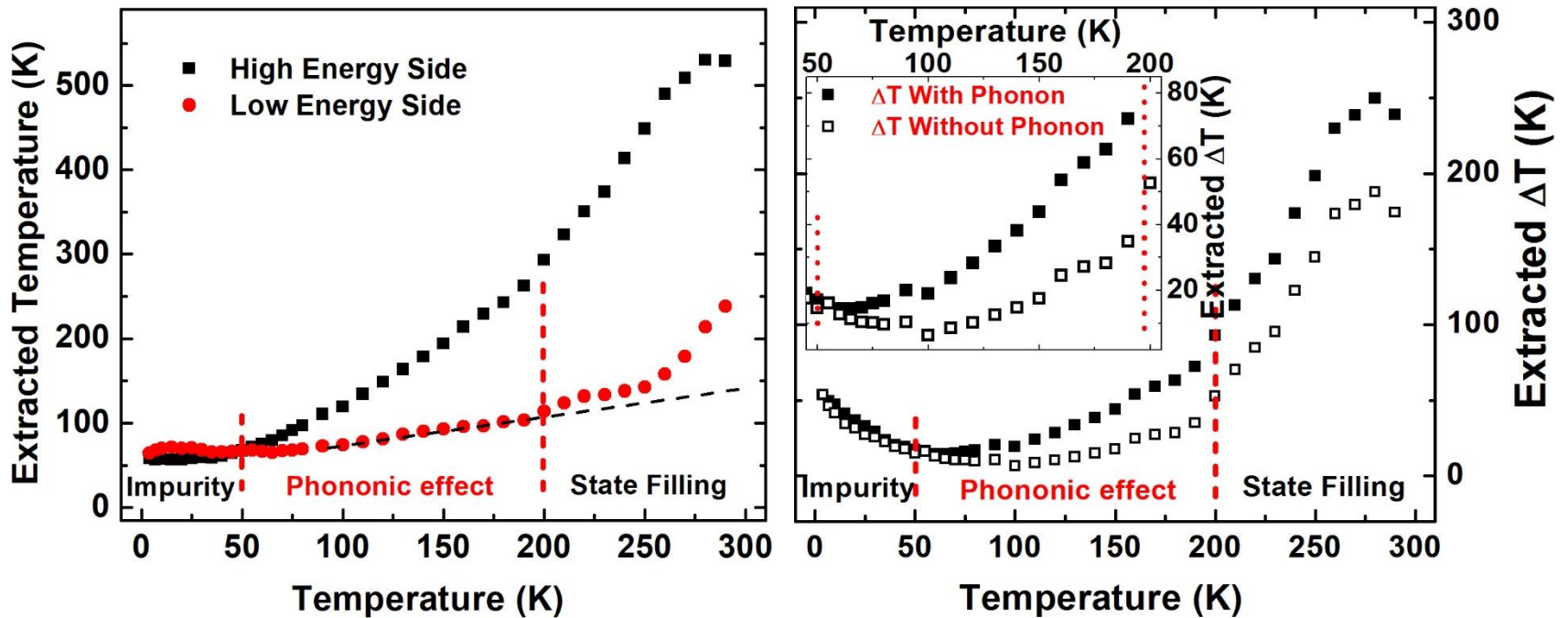


- ❖ There is a linear regime for the extracted temperature and lattice temperature ( $50 \text{ K} < T_L < 200 \text{ K}$ )

H. Esmailpour, V. R. Whiteside, I. R. Sellers et al., *Progress in PV Res. Appl.* **25**, 782 (2017)



# Determination of carrier temperature



❖ Extracted temperature is adjusted by subtracting the contribution of PL linewidth broadening

H. Esmailpour, V. R. Whiteside, I. R. Sellers et al., *Progress in PV Res. Appl.* **25**, 782 (2017)

Photovoltaics Materials & Device Group, University of Oklahoma: <http://www.nhn.ou.edu/~sellers/group/index.html>



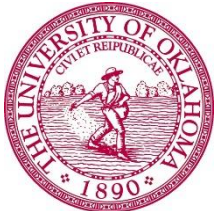
## Summary

- ❖ The effect of occupation of excited states was studied in the InGaAsP QW structure
- ❖ PL linewidth broadening of the sample was investigated to evaluate the contribution of each scattering mechanism
- ❖ Extracted temperature was adjusted by subtracting the contribution of phonon broadening

## Publications

- ❖ H. Esmailpour, V. R. Whiteside, I. R. Sellers et al., *Progress in PV Res. Appl.* **25**, 782 (2017)
- ❖ H. Esmailpour, V. R. Whiteside, I. R. Sellers et al., *Journal of Applied Physics* **121**, 235301 (2017)

## Acknowledgments



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